

**APPENDIX V-09**  
**ECUSTODIAN APPLICATION TO PARTICIPATE-**  
**DIGITAL COLLATERAL PROGRAM**

- Applicability:** Ginnie Mae I MBS Program and Ginnie Mae II MBS Program.
- Purpose:** To provide a form for use by an applicant to identify the operational and technical capabilities required by the Digital Collateral Program Guide, Appendix V-07 of the MBS Guide, to become an approved eCustodian and request Ginnie Mae approval to certify Digital Pools or Loan Packages for securitization.
- Prepared by:** Applicant.
- Prepared in:** Electronically.
- Distribution:** Applicants seeking approval to be a Ginnie Mae eCustodian must complete this application form by entering the required application data. The application must be signed by an officer of the Document Custodian, and submitted via email to [DCPA@hud.gov](mailto:DCPA@hud.gov). Hard copy paper submissions will not be accepted.
- Completion Instructions:** Instructions to complete this form are identified below.

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**Instructions:** Pursuant to Section 2210.00 of the Ginnie Mae Digital Collateral Guide (“eGuide,” Appendix V-07 of the MBS Guide), an institution must apply for and receive Ginnie Mae approval to act as an eCustodian of loan files corresponding to a Digital Pool or Loan Package by submitting this eCustodian Application to Participate in the Digital Collateral Program (“Application”), including all corresponding attachments, via email to [DCPA@hud.gov](mailto:DCPA@hud.gov). The application must be signed by an officer of the Document Custodian, and submitted via email to [DCPA@hud.gov](mailto:DCPA@hud.gov). Please note that any capitalized terms, abbreviations, acronyms, or initialisms contained in this Application shall have the meaning provided in the Glossary of the eGuide or the MBS Guide, unless otherwise defined.

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**Section A. General Information**

1a. Custodian Organization  
Name ("Applicant")

2a. Applicant Contact  
Person Name

1b. Custodian Doing  
Business As Name (if  
applicable)

2b. Applicant Contact  
Person Phone  
Number

1c. Ginnie Mae Custodian#

2c. Applicant Contact Person Email

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**Section B. Joint Application**

1a. Is the Applicant's submission a joint application with an elssuer Applicant as described in Section 2180.00 of the eGuide? If "Yes", please provide the name of the elssuer Applicant, but please note, both the elssuer and eCustodian applications must be completed and submitted.

Yes                      No

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**Section C. Custodian Information**

1a. Will the Applicant utilize its own eVault to view copies of eNotes and, if applicable to its arrangements with the elssuer, store Authoritative Copies of eNotes, or will it rely upon the elssuer to provide it with access to the elssuer's eVault?

a)Applicant will utilize its  
own eVault

b)Applicant will utilize the  
elssuer's eVault

1b. Please identify the provider of the Qualified eVault referenced in 1a that meets the requirements of Section 2600.00 of the eGuide.

2a. Please provide the Applicant's MERS® Org ID that corresponds with its active MERS® System Membership and MERS® eRegistry Participant status, pursuant to the requirements set forth in Section 2260.00 of the eGuide.

2b. Does the Applicant have any active, uncured, or unremediated violations of the MERS® System Rules of Membership? If "Yes", please provide a description of each violation and its status, either below or in a separate attachment to this Application

Yes                      No

3a. Please provide a general description of the Applicant's experience, staff, processes, and systems as applicable to fulfilling the eCustodian and Certification requirements described in Sections 2200.00 and 5000.00 of the eGuide, either below or in a separate attachment to this Application.

3b. Please provide the names, titles, contact information (phone number and email), and relevant experience of the key personnel that the Applicant will dedicate to this initiative to collaborate with Ginnie Mae during the initial phases of the Digital Collateral Program, as described in Section 2300.00 of the eGuide, either below or in a separate attachment to this Application.

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## Section D. Applicant Certification

I hereby certify that all of the information I have provided on this Application and in any accompanying documentation is true and accurate to the best of my knowledge and belief. Each Applicant warrants that while this Application is pending action by Ginnie Mae, the Applicant will notify Ginnie Mae in writing of any material change that could affect the Application decision.

Applicant Signature:

Date:

Name:

Title:

The knowing submission of a false, fictitious, or fraudulent certification may be subject to criminal and civil penalties, including confinement for up to 5 years, fines, and civil penalties. 12 U.S.C. § 1723i, 18 U.S.C. §§ 287, 1001 and 31 U.S.C. § 3729.