



Pool Transfer System for Issuers



Pool Transfer Overview

Ginnie Mae I & II programs allow Issuers to transfer their mortgage servicing rights to another approved Issuer.

Depending on the type of transfer, the Selling Issuer is required to transfer all or a portion of their pools.

Pool transfer types include:

Pool Transfer Type	Purpose of Transfer	Complete or Partial
Standard Pool	The Selling Issuer transfers pools to another issuer by mutual agreement.	Both
Related Party Pool	The Selling Issuer transfers pools to another Issuer by mutual agreement. Issuers are related.	Both
Merger	The Selling Issuer transfers all pools to a related Issuer due to internal reasons in a business merger. The Merging Issuer initiates the process.	Complete

Pool Transfer System (PTS) Overview

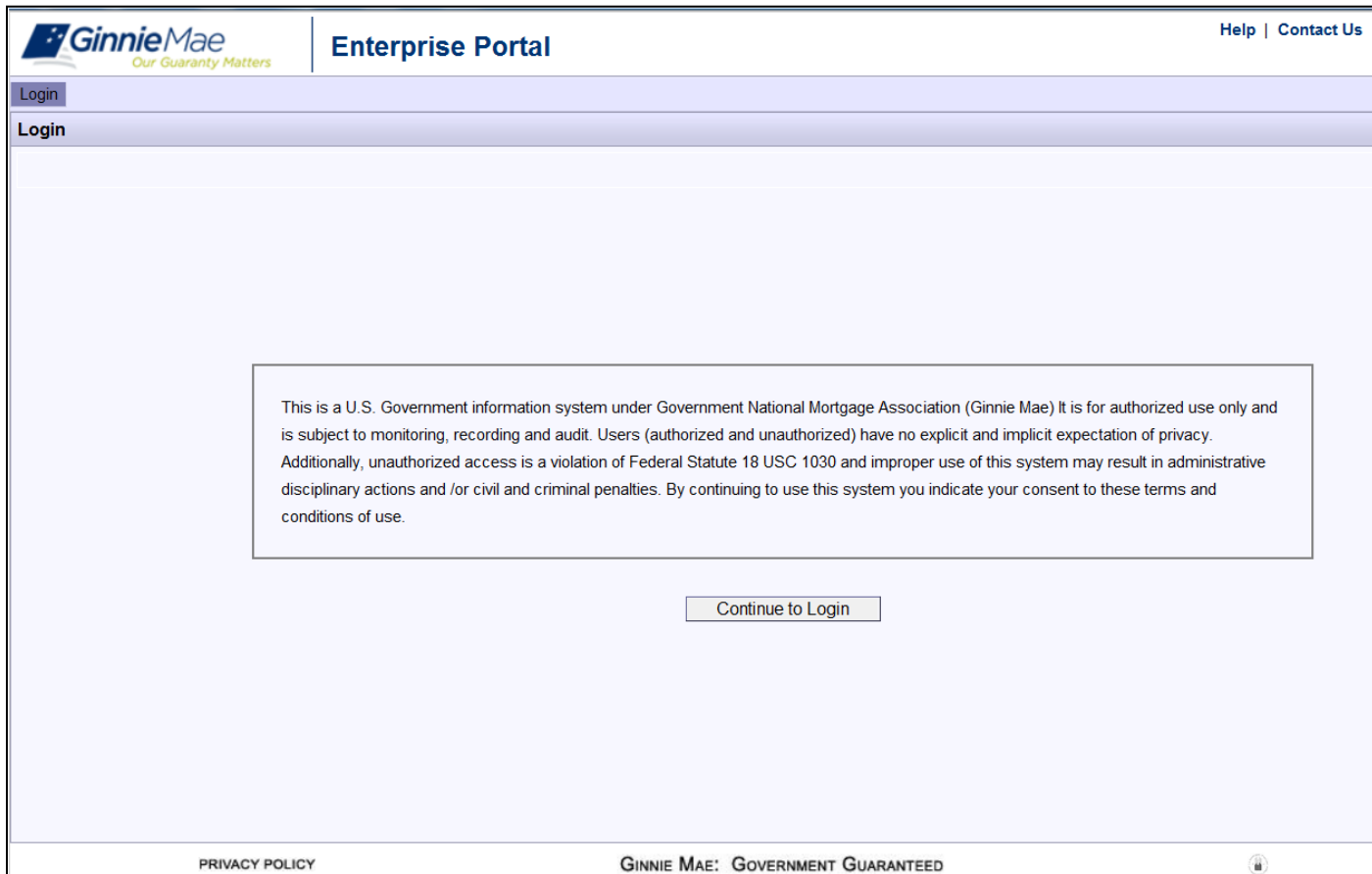
PTS provides an automated means for the Issuer to do the following:

- Initiate and update pool transfer request information
- Import and update pool numbers being transferred
- View and receive the associated approval documentation
- Approve or reject pool transfer requests from the Selling Issuer
- Access and view reports
- Confirm associated transfer fees

PTS also provides electronic notifications of transfer requests and reports to Ginnie Mae Account Executives and the Pool Processing Issuer.

PTS – Access

Access PTS through the Ginnie Mae Enterprise Portal (GMEP) at www.eginniemae.net.



The screenshot shows the Ginnie Mae Enterprise Portal login page. At the top left is the Ginnie Mae logo with the tagline "Our Guaranty Matters". To its right is the text "Enterprise Portal". In the top right corner, there are links for "Help" and "Contact Us". Below the header is a purple bar with the word "Login" on the left. The main content area is light blue and contains a white-bordered box with the following text: "This is a U.S. Government information system under Government National Mortgage Association (Ginnie Mae) It is for authorized use only and is subject to monitoring, recording and audit. Users (authorized and unauthorized) have no explicit and implicit expectation of privacy. Additionally, unauthorized access is a violation of Federal Statute 18 USC 1030 and improper use of this system may result in administrative disciplinary actions and /or civil and criminal penalties. By continuing to use this system you indicate your consent to these terms and conditions of use." Below this box is a button labeled "Continue to Login". At the bottom of the page, there are three items: "PRIVACY POLICY" on the left, "GINNIE MAE: GOVERNMENT GUARANTEED" in the center, and a small lock icon on the right.

PTS – Logging In

Username: A Ginnie Mae Issuer user name begins with I_ followed by the first initial of the user's first name followed by the last name.

Password: The initial password is system generated consisting of a combination of upper case/lower case alpha-numeric characters and symbols.

- First time users will be prompted to change their password.
- Existing Issuers may use their current ID and password.

Click the **Login** button to proceed to the main menu.

Forgotten Passwords may be reset by clicking Forgot Password; the user will be asked a series of security questions to verify their identity.



The screenshot shows the GinnieMae Enterprise Portal login interface. At the top left is the GinnieMae logo with the tagline "Our Guaranty Matters". To the right of the logo is the text "Enterprise Portal". In the top right corner, there are links for "Help" and "Contact Us". Below the header, there are two "Login" buttons. The main content area contains the instruction "Please enter your use-name and password below." followed by two input fields: "Username:" with the value "i_mlewicki" and "Password:" with masked characters. Below the password field is a "Login" button and a link for "Forgot Password". At the bottom of the page, there are links for "PRIVACY POLICY" and "GINNIE MAE: GOVERNMENT GUARANTEED".

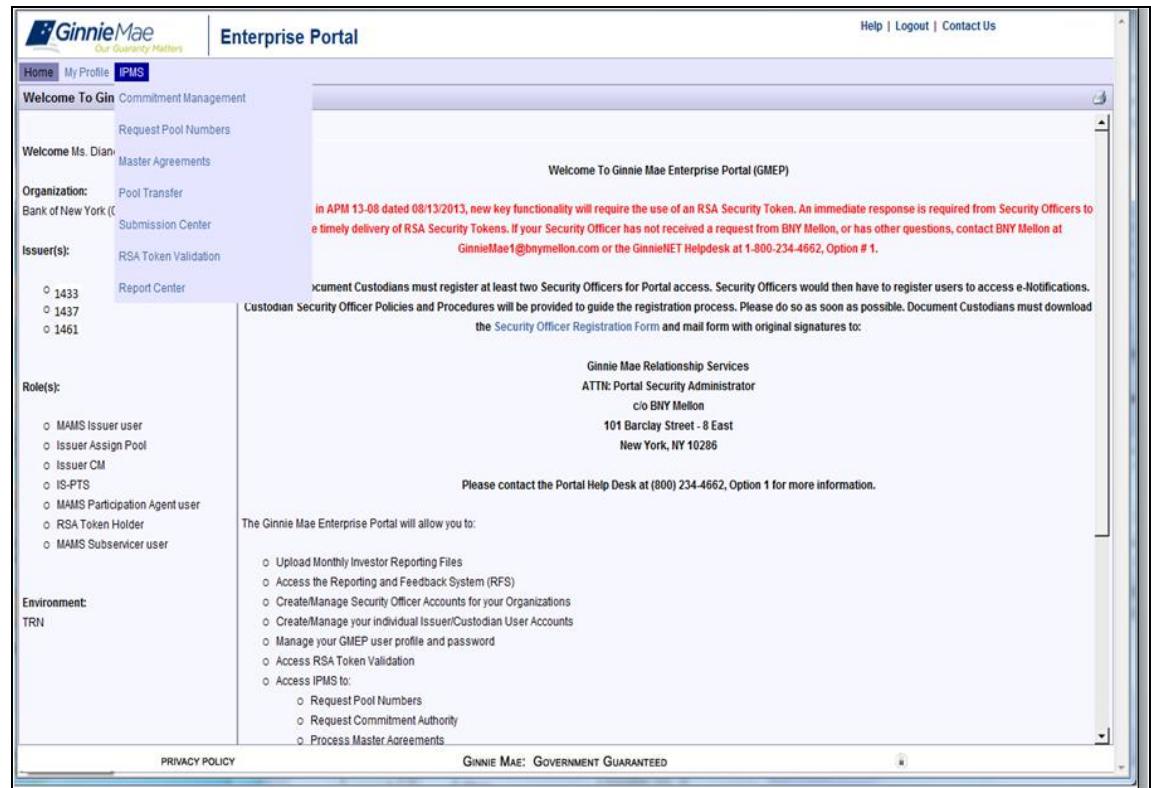
PTS – Accessing the Application

The Ginnie Mae Enterprise Portal (GMEP) Welcome screen provides system menu options.

User information (Organization ID and associated Issuer Numbers) is displayed on the left.

The menu bar provides access to IPMS and the following applications:

- Commitment Management
- Request Pool Numbers
- Master Agreements
- **Pool Transfer**
- Submission Center
- RSA Token Validation
- Report Center



GinnieMae Enterprise Portal

Help | Logout | Contact Us

Home My Profile **IPMS**

Welcome To Ginnie Mae Enterprise Portal (GMEP)

in APM 13-08 dated 08/13/2013, new key functionality will require the use of an RSA Security Token. An immediate response is required from Security Officers to a timely delivery of RSA Security Tokens. If your Security Officer has not received a request from BNY Mellon, or has other questions, contact BNY Mellon at GinnieMae1@bnymellon.com or the GinnieNET Helpdesk at 1-800-234-4662, Option # 1.

Document Custodians must register at least two Security Officers for Portal access. Security Officers would then have to register users to access e-Notifications. Custodian Security Officer Policies and Procedures will be provided to guide the registration process. Please do so as soon as possible. Document Custodians must download the Security Officer Registration Form and mail form with original signatures to:

Ginnie Mae Relationship Services
ATTN: Portal Security Administrator
c/o BNY Mellon
101 Barclay Street - 8 East
New York, NY 10286

Please contact the Portal Help Desk at (800) 234-4662, Option 1 for more information.

The Ginnie Mae Enterprise Portal will allow you to:

- o Upload Monthly Investor Reporting Files
- o Access the Reporting and Feedback System (RFS)
- o Create/Manage Security Officer Accounts for your Organizations
- o Create/Manage your individual Issuer/Custodian User Accounts
- o Manage your GMEP user profile and password
- o Access RSA Token Validation
- o Access IPMS to:
 - o Request Pool Numbers
 - o Request Commitment Authority
 - o Process Master Agreements

PRIVACY POLICY GINNIE MAE: GOVERNMENT GUARANTEED

To continue, click IPMS and select Pool Transfer.

Pool Transfer System

SUMMARY SCREEN

PTS – Summary Screen

The **PTS Summary Screen** is the primary navigation screen. From this screen an Issuer may do any of the following:

- Submit a transfer request
- Review pool transfer history
- Monitor the status of pending requests

WELCOME MR. JOHN SMITH
BANK A

PROCESSING & SERVICING REPORT CENTER RSA TOKEN VALIDATION

Issuer Number: 1433 Issuer Name: SERIES A MORTGAGE BANK Go

COMMITMENT MANAGEMENT REQUEST POOL NUMBERS MASTER AGREEMENTS POOL TRANSFER SUBMISSION CENTER

SUMMARY | REQUEST NEW TRANSFER

Selling Issuer Number/Name: All Buying Issuer Number/Name: All Pool Transfer Type: All Effective Reporting Month/Year: All

Status: All Request Number: All

Search Reset

* Displays Active Pool Transfer Requests. << < 1 - 4 of 4 > >>

Request Number	Selling Issuer Number	Selling Issuer Name	Buying Issuer Number	Buying Issuer Name	Transfer Type	Sale Date	Effective Reporting Date	Status	Approval Letter	Assignment Agreement	Cancel
200026	1433	SERIES A MORTGAGE BANK	1437	EAST BANK OF THE HUDSON	Standard-Complete	11/29/2013	12/01/2013	Validation with Errors	View	View	Cancel
100145	1433	SERIES A MORTGAGE BANK	1437	EAST BANK OF THE HUDSON	Standard-Complete	10/03/2013	03/01/2014	Validation with Errors	View	View	Cancel
100144	1438	BANK A	1457	BANK B	Standard-Complete	10/03/2013	11/01/2013	Seller cancelled request	View	View	Cancel
100027	1438	BANK A	1457	BANK B	Standard-Partial	09/19/2013	09/26/2013	Seller cancelled request	View	View	Cancel

Request New Transfer



Pool Transfer System

INITIATING A COMPLETE TRANSFER REQUEST - SELLING ISSUER

PTS – Initiating a Complete Pool Transfer Request

Utilizing the **PTS Summary Screen**, a Selling Issuer must do the following:

- Select the Selling Issuer number from the drop down menu. The associated Issuer Name will display.

and

- Click the **Request New Transfer** button.

WELCOME MR. JOHN SMITH BANK A

ENTERPRISE PORTAL

PROCESSING & SERVICING REPORT CENTER RSA TOKEN VALIDATION

Issuer Number: 1433 Issuer Name: SERIES A MORTGAGE BANK

COMMITMENT MANAGEMENT REQUEST POOL NUMBERS MASTER AGREEMENTS POOL TRANSFER SUBMISSION CENTER

SUMMARY REQUEST NEW TRANSFER

Selling Issuer Number/Name: All All Buying Issuer Number/Name: All All Pool Transfer Type: All Effective Reporting Month/Year: All Status: All Request Number: All

Search Reset

* Displays Active Pool Transfer Requests. << 1-3 of 3 >>

Request Number	Selling Issuer Number	Selling Issuer Name	Buying Issuer Number	Buying Issuer Name	Transfer Type	Sale Date	Effective Reporting Date	Status	Approval Letter	Assignment Agreement	Cancel
100145	1433	SERIES A MORTGAGE BANK	2936	BANK OF AMERICA, N.A.	Standard-Complete	10/03/2013	03/01/2014	Validation with Errors	View	View	Cancel
100144	1433	SERIES A MORTGAGE BANK	3770	FIRST GUARANTY MORTGAGE CORPORATION	Standard-Complete	10/03/2013	11/01/2013	Seller cancelled request	View	View	Cancel
100027	1433	SERIES A MORTGAGE BANK	1770	MID-ISLAND MORTGAGE CORP.	Standard-Partial	09/19/2013	09/26/2013	Seller cancelled request	View	View	Cancel

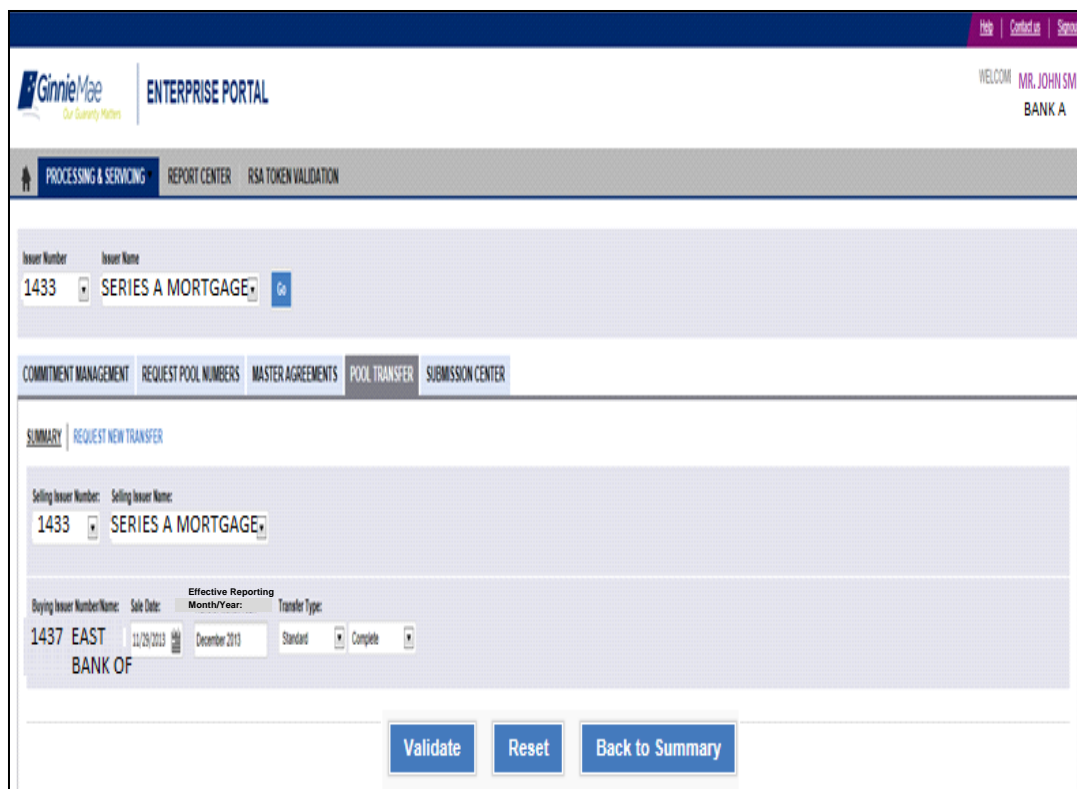
Request New Transfer

PTS – Request New Transfer Screen

The Issuer must complete the following fields:

- Buying Issuer Number/Name
- Sale Date
- Effective Reporting Month/Year
- Transfer Type:
 - Standard
 - Related
 - Merger
 - Complete or Partial Transfer

Click **Validate** to submit.



Help Contact Us Search

GinnieMae ENTERPRISE PORTAL WELCOME MR. JOHN SMITH BANK A

PROCESSING & SERVING REPORT CENTER RSA TOKEN VALIDATION

Issuer Number Issuer Name
1433 SERIES A MORTGAGE Go

COMMITMENT MANAGEMENT REQUEST POOL NUMBERS MASTER AGREEMENTS POOL TRANSFER SUBMISSION CENTER

SUMMARY REQUEST NEW TRANSFER

Selling Issuer Number: Selling Issuer Name:
1433 SERIES A MORTGAGE

Buying Issuer Number/Name: Sale Date: Effective Reporting Month/Year: Transfer Type:
1437 EAST 11/29/2013 December 2013 Standard Complete

BANK OF

Validate Reset Back to Summary

Note: Single Family Transfer Requests should be submitted 60 calendar days prior to Effective Reporting Date.

PTS – New Request Number Assignment

PTS will assign a New Request Number and the Request Status on the Summary Screen is reflected as Validating.

[PROCESSING & SERVICING](#) | [REPORT CENTER](#) | [RSA TOKEN VALIDATION](#)

Issuer Number: 3770 | Issuer Name: FIRST GUARANTY MORTGAGE CORPORATION | [Go](#)

[COMMITMENT MANAGEMENT](#) | [REQUEST POOL NUMBERS](#) | [MASTER AGREEMENTS](#) | [POOL TRANSFER](#) | [SUBMISSION CENTER](#)

[SUMMARY](#) | [REQUEST NEW TRANSFER](#)

Selling Issuer Number: 1433 | Selling Issuer Name: SERIES A MORTGAGE BANK | Transfer Type: Standard-Complete

Request Number: 200044 | Buying Issuer: 1437 EAST BANK OF THE HUDSON | Sale Date: 12/04/2013 | Effective Reporting Month/Year: January 2014

New Request 200044 Has Been Created

[Back to Summary](#)

Selling Issuer Number/Name: All | All | Buying Issuer Number/Name: All | All | Pool Transfer Type: All | Effective Reporting Month/Year: All

Status: All | Request Number: All

[Search](#) | [Reset](#)

* Displays Active Pool Transfer Requests. << < 1 - 7 of 7 > >>

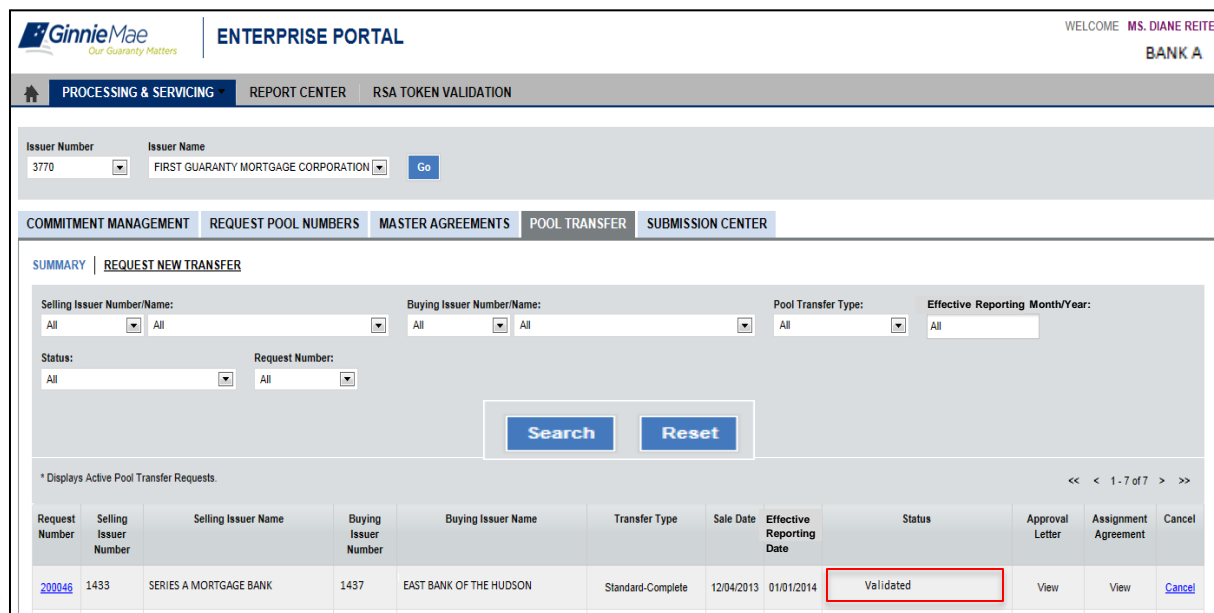
Request Number	Selling Issuer Number	Selling Issuer Name	Buying Issuer Number	Buying Issuer Name	Transfer Type	Sale Date	Effective Reporting Date	Status	Approval Letter	Assignment Agreement	Cancel
200046	1433	SERIES A MORTGAGE BANK	1437	EAST BANK OF THE HUDSON	Standard-Complete	12/04/2013	01/01/2014	Validating	View	View	Cancel

PTS – Status - Validated

Once a transfer request has successfully passed the PTS edits, the Request Status will be updated to **Validated**.

To view the transfer request detail, click on the Request Number Link.

The Issuer also receives an email confirming the validation.



WELCOME MS. DIANE REITER
BANK A

PROCESSING & SERVICING REPORT CENTER RSA TOKEN VALIDATION

Issuer Number: 3770 Issuer Name: FIRST GUARANTY MORTGAGE CORPORATION Go

COMMITMENT MANAGEMENT REQUEST POOL NUMBERS MASTER AGREEMENTS **POOL TRANSFER** SUBMISSION CENTER

SUMMARY | REQUEST NEW TRANSFER

Selling Issuer Number/Name: All All Buying Issuer Number/Name: All All Pool Transfer Type: All Effective Reporting Month/Year: All

Status: All Request Number: All

Search Reset

* Displays Active Pool Transfer Requests. << < 1 - 7 of 7 >>

Request Number	Selling Issuer Number	Selling Issuer Name	Buying Issuer Number	Buying Issuer Name	Transfer Type	Sale Date	Effective Reporting Date	Status	Approval Letter	Assignment Agreement	Cancel
200046	1433	SERIES A MORTGAGE BANK	1437	EAST BANK OF THE HUDSON	Standard-Complete	12/04/2013	01/01/2014	Validated	View	View	Cancel

Pool transfer request 200046 validations have completed successfully. Please login to GMPE to review the status.

The information in this email, and any attachment therein, is confidential and for use by the addressee only. If you are not the intended recipient, please return the email to the sender and delete it from your computer. Although The Bank of New York attempts to sweep e-mail and attachments for viruses, it does not guarantee that either are virus-free and accepts no liability for any damage sustained as a result of viruses. Please refer to <http://disclaimer.bnymellon.com/eu.htm> for disclosures related to European legal entities.

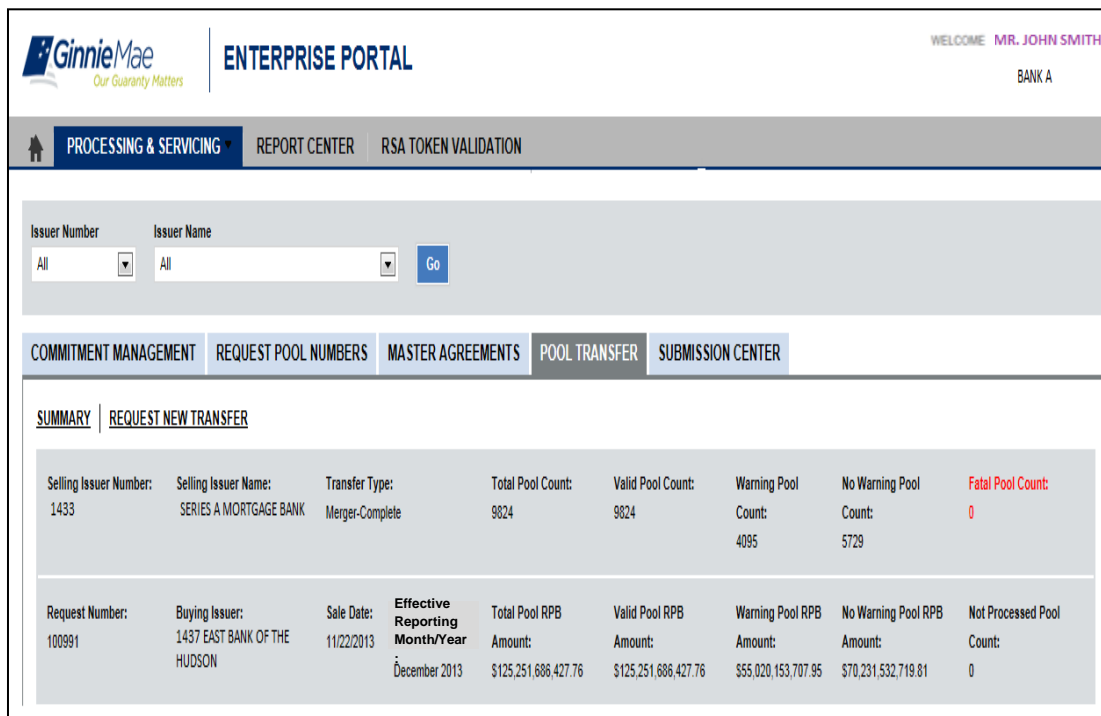
PTS – Transfer Detail Screen

The **PTS Transfer Detail Screen** consists of three sections:

- Transfer request summary
- Pool Number validations and exceptions
- Transfer Fee Payments

The Transfer Request field recap includes:

- Selling Issuer Number and Name
- Transfer Type
- Total Pool Count
- Valid Pool Count
- Warning Pool Count
- No Warning Pool Count
- Fatal Pool Count
- Request Number
- Buying Issuer
- Sale Date
- Effective Reporting Month/Year
- Total Pool RPB Amount
- Valid Pool RPB Amount
- Warning Pool RPB Amount
- No Warning Pool RPB Amount
- Not Processed Pool Count



Selling Issuer Number:	Selling Issuer Name:	Transfer Type:	Total Pool Count:	Valid Pool Count:	Warning Pool Count:	No Warning Pool Count:	Fatal Pool Count:
1433	SERIES A MORTGAGE BANK	Merger-Complete	9824	9824	4095	5729	0

Request Number:	Buying Issuer:	Sale Date:	Effective Reporting Month/Year	Total Pool RPB Amount:	Valid Pool RPB Amount:	Warning Pool RPB Amount:	No Warning Pool RPB Amount:	Not Processed Pool Count:
100991	1437 EAST BANK OF THE HUDSON	11/22/2013	December 2013	\$125,251,686,427.76	\$125,251,686,427.76	\$55,020,153,707.95	\$70,231,532,719.81	0

PTS – Transfer Detail Screen – Pool Numbers

The second section displays the Pool Number detail and includes:

- Validated Pool Numbers
- Issuer Type-Pool Type
- Remaining Pool Balance
- RPB Date

VALIDATED POOL LIST		LIST OF POOLS WITH ERROR(S)		
<input type="checkbox"/>	Pool Number	Issue Type - Pool Type	Remaining Pool Balance (RPB)	RPB Date
<input type="checkbox"/>	175570	X - SF	8,026.07	09/01/2013
<input type="checkbox"/>	234023	X - SF	17,037.00	09/01/2013
<input type="checkbox"/>	245712	X - SF	14,416.98	09/01/2013
<input type="checkbox"/>	245713	X - SF	14,670.33	09/01/2013
<input type="checkbox"/>	245717	X - SF	14,518.26	09/01/2013
<input type="checkbox"/>	251138	X - SF	40,032.47	09/01/2013
<input type="checkbox"/>	251141	X - SF	12,052.78	09/01/2013
<input type="checkbox"/>	251143	X - SF	12,731.30	09/01/2013
<input type="checkbox"/>	251145	X - SF	34,031.23	09/01/2013
<input type="checkbox"/>	253709	X - SF	2,778.31	09/01/2013

Remove

Validated Pool Sample

The Issuer may also view any Pool Number exceptions by clicking the List of Pools with Errors Link.

A Pool Exception Screen will display the pools which have exceptions and a message describing the error.

POOL EXCEPTIONS							
<input type="checkbox"/>	Error Type	Pool Number	Error Message	Issue Type - Pool Type	Remaining Pool Balance (RPB)	RPB Date	
<input type="checkbox"/>	Warning	655288	Pool is delinquent for recertification	X - SF	179,689.80	09/01/2013	
<input type="checkbox"/>	Warning	659986	Pool is delinquent for recertification	X - SF	94,170.95	09/01/2013	
<input type="checkbox"/>	Warning	659987	Pool is delinquent for recertification	X - SF	257,746.12	08/01/2013	
<input type="checkbox"/>	Warning	659988	Pool is delinquent for recertification	M - JM	94,502.61	09/01/2013	
<input type="checkbox"/>	Warning	659990	Pool is delinquent for recertification	X - SF	61,110.94	09/01/2013	
<input type="checkbox"/>	Warning	659991	Pool is delinquent for recertification	X - SF	335,989.97	09/01/2013	
<input type="checkbox"/>	Warning	659994	Pool is delinquent for recertification	X - SF	142,957.14	09/01/2013	
<input type="checkbox"/>	Warning	659999	Pool is delinquent for recertification	X - SF	266,847.55	09/01/2013	
<input type="checkbox"/>	Warning	660005	Pool is delinquent for recertification	X - SF	49,747.85	09/01/2013	
<input type="checkbox"/>	Warning	660006	Pool is delinquent for recertification	X - SF	273,351.17	09/01/2013	

Remove

Pool Number Exceptions

PTS - Transfer Detail Screen – Fee Payment Account

The final section of the Transfer Detail Screen is used to identify the Selling Issuers fee payment account.

Payment Info: Click the applicable button. Select an existing account or enter a new account.

Enter a New Account: Enter the ACH Routing Number and Account Number. Re-enter the account number to validate.

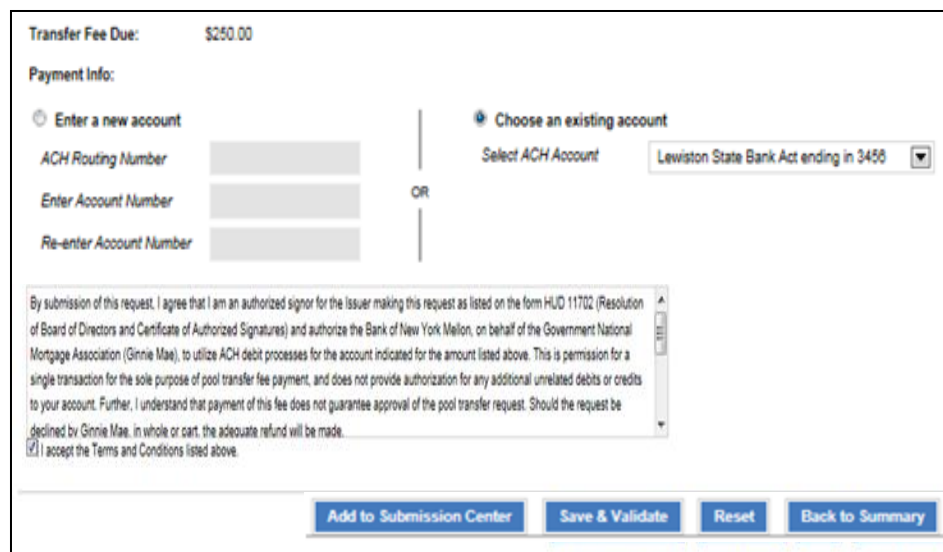
Existing Account: Select a previously identified account from the drop down menu.

The Ginnie Mae terms and conditions regarding the PPA's authority to draft the commitment transfer fee are displayed.

Click the box provided to acknowledge and accept the Terms and Conditions.

Click Save and Validate to record any changes.

To finalize the transfer request click **Add to Submission Center**.



Transfer Fee Due: \$250.00

Payment Info:

Enter a new account

ACH Routing Number

Enter Account Number

Re-enter Account Number

OR

Choose an existing account

Select ACH Account: Lewiston State Bank Act ending in 3456

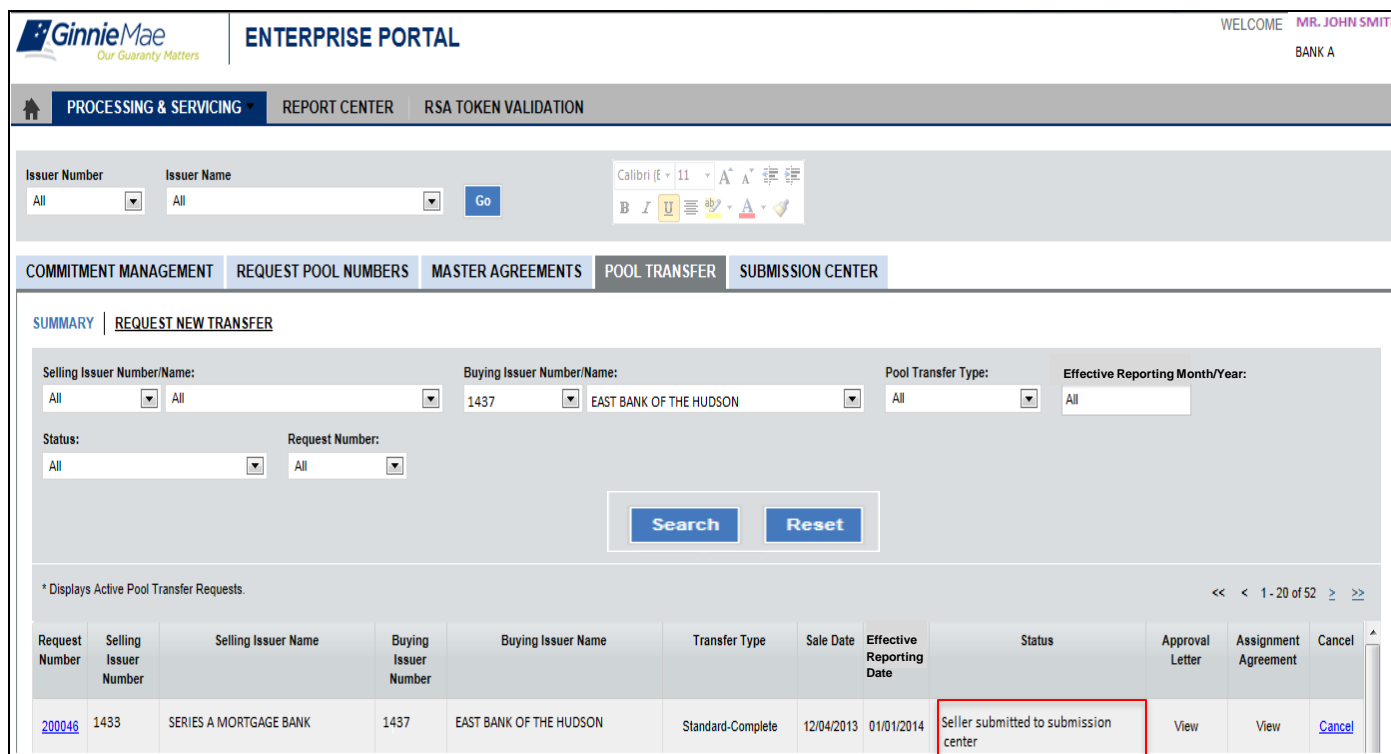
By submission of this request, I agree that I am an authorized signor for the Issuer making this request as listed on the form HUD 11702 (Resolution of Board of Directors and Certificate of Authorized Signatures) and authorize the Bank of New York Mellon, on behalf of the Government National Mortgage Association (Ginnie Mae), to utilize ACH debit processes for the account indicated for the amount listed above. This is permission for a single transaction for the sole purpose of pool transfer fee payment, and does not provide authorization for any additional unrelated debits or credits to your account. Further, I understand that payment of this fee does not guarantee approval of the pool transfer request. Should the request be declined by Ginnie Mae, in whole or part, the adequate refund will be made.

I accept the Terms and Conditions listed above.

Add to Submission Center Save & Validate Reset Back to Summary

PTS – Validation Successful

When the Selling Issuer submits a request the **PTS Summary Screen** status will update to **Seller Submitted to Submission Center**.



The screenshot shows the GinnieMae Enterprise Portal interface. At the top, it says 'WELCOME MR. JOHN SMITH BANK A'. The main navigation bar includes 'PROCESSING & SERVICING', 'REPORT CENTER', and 'RSA TOKEN VALIDATION'. Below this, there are tabs for 'COMMITMENT MANAGEMENT', 'REQUEST POOL NUMBERS', 'MASTER AGREEMENTS', 'POOL TRANSFER', and 'SUBMISSION CENTER'. The 'SUBMISSION CENTER' tab is active, showing a 'SUMMARY' section with search filters for 'Selling Issuer Number/Name', 'Buying Issuer Number/Name', 'Pool Transfer Type', 'Effective Reporting Month/Year', 'Status', and 'Request Number'. A 'Search' button is visible. Below the filters, a table displays active pool transfer requests. The first row is highlighted, and its status is 'Seller submitted to submission center', which is enclosed in a red box.

Request Number	Selling Issuer Number	Selling Issuer Name	Buying Issuer Number	Buying Issuer Name	Transfer Type	Sale Date	Effective Reporting Date	Status	Approval Letter	Assignment Agreement	Cancel
200046	1433	SERIES A MORTGAGE BANK	1437	EAST BANK OF THE HUDSON	Standard-Complete	12/04/2013	01/01/2014	Seller submitted to submission center	View	View	Cancel



Pool Transfer System

INITIATING A PARTIAL TRANSFER REQUEST – SELLING ISSUER

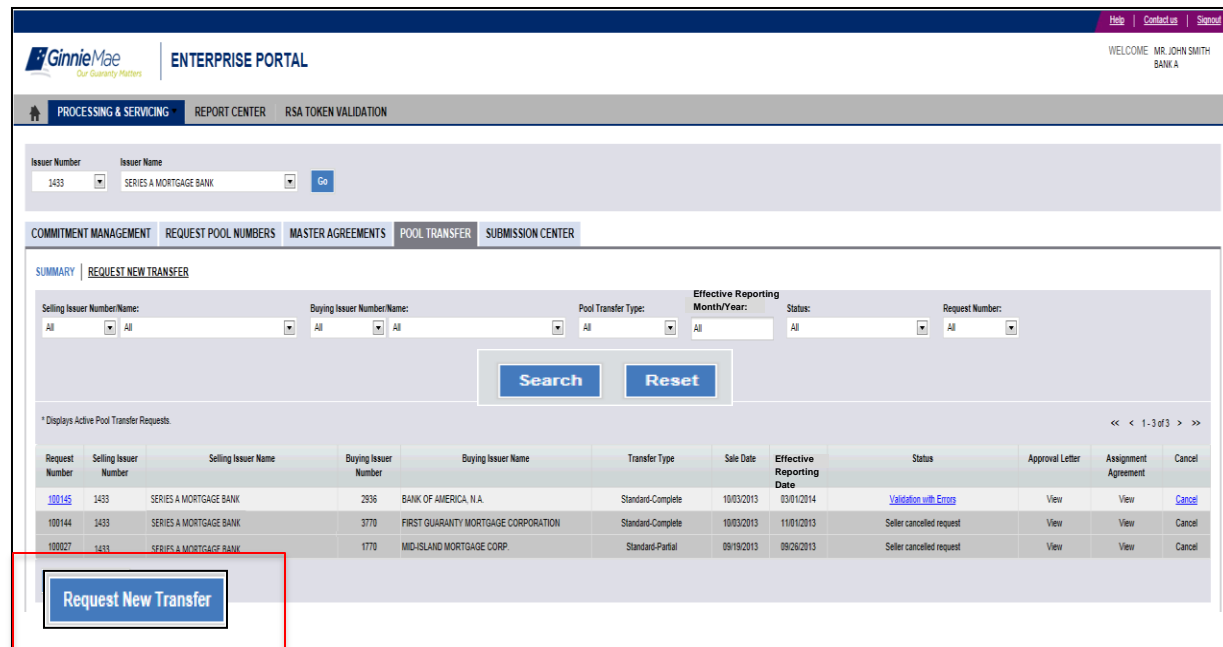
PTS – Initiating a Partial Pool Transfer Request

Utilizing the PTS Summary Screen the Selling Issuer will do the following:

- Select the Selling Issuer number from the drop down menu. The associated Issuer Name will display.

and

- Click the **Request New Transfer** button.



The screenshot shows the GinnieMae Enterprise Portal interface. At the top, there is a navigation bar with 'PROCESSING & SERVICING', 'REPORT CENTER', and 'RSA TOKEN VALIDATION'. Below this, there are tabs for 'COMMITMENT MANAGEMENT', 'REQUEST POOL NUMBERS', 'MASTER AGREEMENTS', 'POOL TRANSFER', and 'SUBMISSION CENTER'. The 'POOL TRANSFER' tab is active, and the 'REQUEST NEW TRANSFER' sub-tab is selected.

The main content area contains a search form with the following fields:

- Selling Issuer Number/Name: All
- Buying Issuer Number/Name: All
- Pool Transfer Type: All
- Effective Reporting Month/Year: All
- Status: All
- Request Number: All

There are 'Search' and 'Reset' buttons below the search form. Below the search form, there is a table of active pool transfer requests:

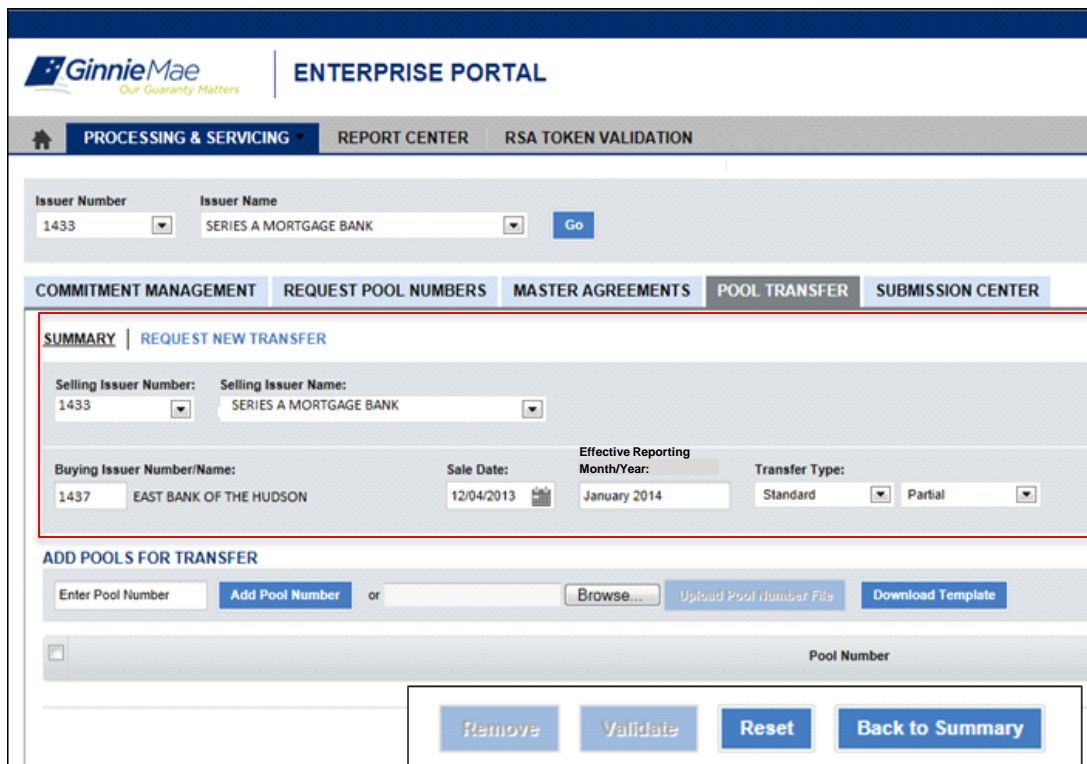
Request Number	Selling Issuer Number	Selling Issuer Name	Buying Issuer Number	Buying Issuer Name	Transfer Type	Sale Date	Effective Reporting Date	Status	Approval Letter	Assignment Agreement	Cancel
100145	1433	SERIES A MORTGAGE BANK	2936	BANK OF AMERICA, N.A.	Standard-Complete	10/03/2013	03/01/2014	Validation with Errors	View	View	Cancel
100144	1433	SERIES A MORTGAGE BANK	3770	FIRST GUARANTY MORTGAGE CORPORATION	Standard-Complete	10/03/2013	11/01/2013	Seller cancelled request	View	View	Cancel
100027	1433	SERIES A MORTGAGE BANK	1770	MID-ISLAND MORTGAGE CORP.	Standard-Partial	09/19/2013	09/26/2013	Seller cancelled request	View	View	Cancel

At the bottom of the screen, there is a 'Request New Transfer' button, which is highlighted with a red box in the original image.

PTS – Request New Transfer

The Issuer must complete the following fields:

- Buying Issuer Number/Name
- Sale Date
- Effective Reporting Month/Year
- Transfer Type:
 - Standard
 - Related
 - Merger
- Partial Transfer



GinnieMae | ENTERPRISE PORTAL

PROCESSING & SERVICING | REPORT CENTER | RSA TOKEN VALIDATION

Issuer Number: 1433 | Issuer Name: SERIES A MORTGAGE BANK | Go

COMMITMENT MANAGEMENT | REQUEST POOL NUMBERS | MASTER AGREEMENTS | **POOL TRANSFER** | SUBMISSION CENTER

SUMMARY | REQUEST NEW TRANSFER

Selling Issuer Number: 1433 | Selling Issuer Name: SERIES A MORTGAGE BANK

Buying Issuer Number/Name: 1437 EAST BANK OF THE HUDSON | Sale Date: 12/04/2013 | Effective Reporting Month/Year: January 2014 | Transfer Type: Standard | Partial

ADD POOLS FOR TRANSFER

Enter Pool Number | Add Pool Number | or | Browse... | Upload Pool Number File | Download Template

Pool Number

Remove | Validate | Reset | Back to Summary

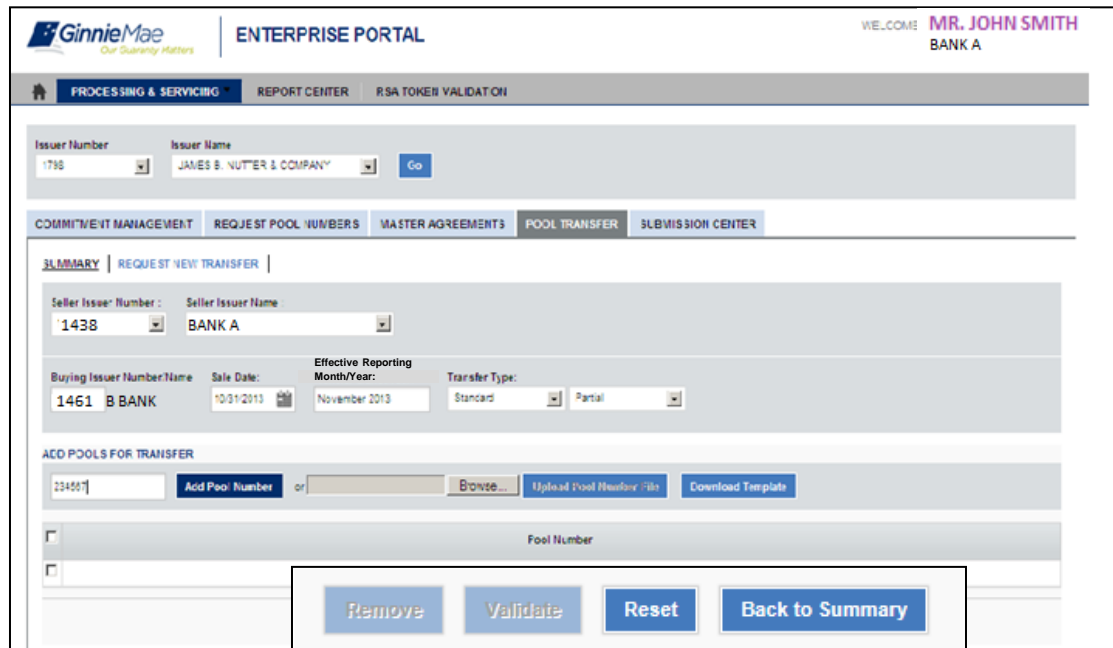
PTS – Partial Transfer – Manual Entry

The Issuer is required to enter the Pool Number(s) included in the transaction which may be entered manually or uploaded.

To manually enter Pool number data:

Type the individual pool numbers within the ADD Pool For Transfer Field. To enter more than one pool number place a comma after each pool number.

Add Pool Numbers: click this button to save and display the individual pool numbers being entered to the request.



GinnieMae | ENTERPRISE PORTAL | WELCOME MR. JOHN SMITH BANK A

PROCESSING & SERVICING | REPORT CENTER | RSA TOKEN VALIDATION

Issuer Number: 1738 | Issuer Name: JAMES B. NUTTER & COMPANY | Go

COMMITMENT MANAGEMENT | REQUEST POOL NUMBERS | MASTER AGREEMENTS | **POOL TRANSFER** | SUBMISSION CENTER

SUMMARY | REQUEST NEW TRANSFER

Seller Issuer Number: 1438 | Seller Issuer Name: BANK A

Buying Issuer Number/Name: 1461 B BANK | Sale Date: 10/31/2013 | Effective Reporting Month/Year: November 2013 | Transfer Type: Standard | Partial

ADD POOLS FOR TRANSFER

1344507 | Add Pool Number | or | Bonds... | Upload Pool Number File | Download Template

Pool Number

Remove | Validate | Reset | Back to Summary

Sample of Individual Pool Number Entry

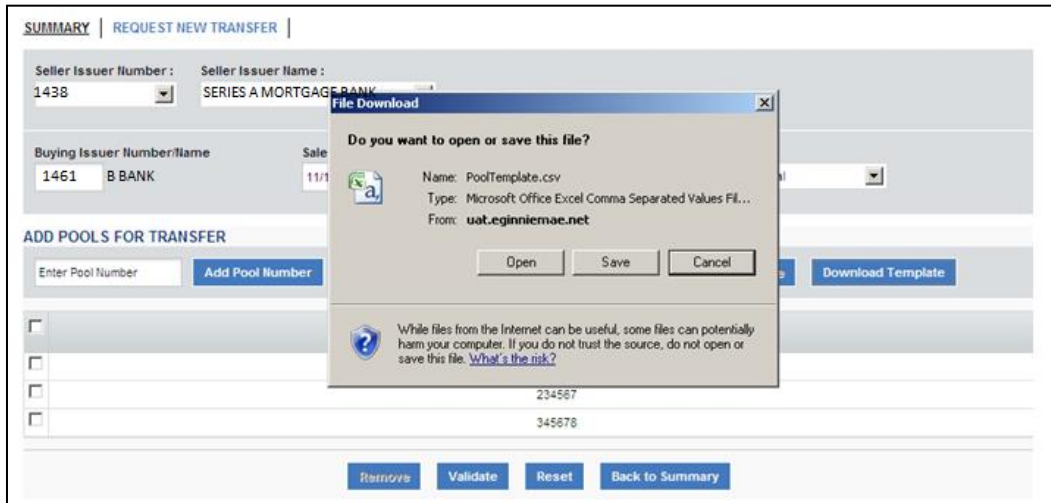
PTS – Partial Transfer – File Upload

To import a file of Pool Numbers:

Download Template: Click the **Download template** button to begin.

A file download box will appear, click **Open** to create the template.

Enter the pool numbers being transferred on the template and **save as a CSV file** to a folder/directory.



	A	B	C
1	Pool Number		
2			
3	987654		
4	987655		
5	987656		
6	987657		
7	987658		
8	987659		
9	987660		
10	987661		
11	987662		
12	987663		
13	987664		
14	987665		
15	987666		
16	987667		
17	987668		
18	987669		

PTS – Partial Transfer Upload Pool Number File

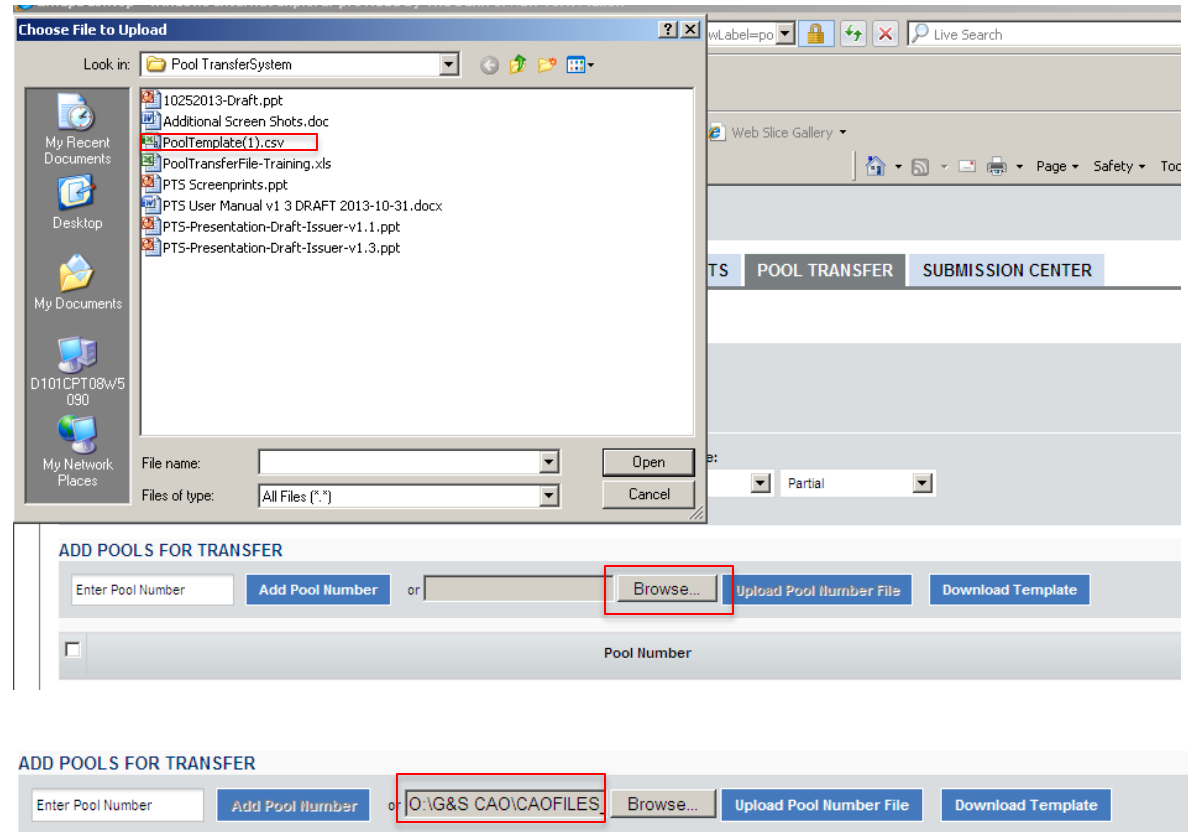
To upload a Pool Number template, click the **Browse** button.

The Issuer is directed to the folder/file directory where the pool number template is saved.

Select the template name and click **Open**.

The selected file name and path will display in the field directly to the left of the browse button.

Click **Upload Pool Number File** to proceed.



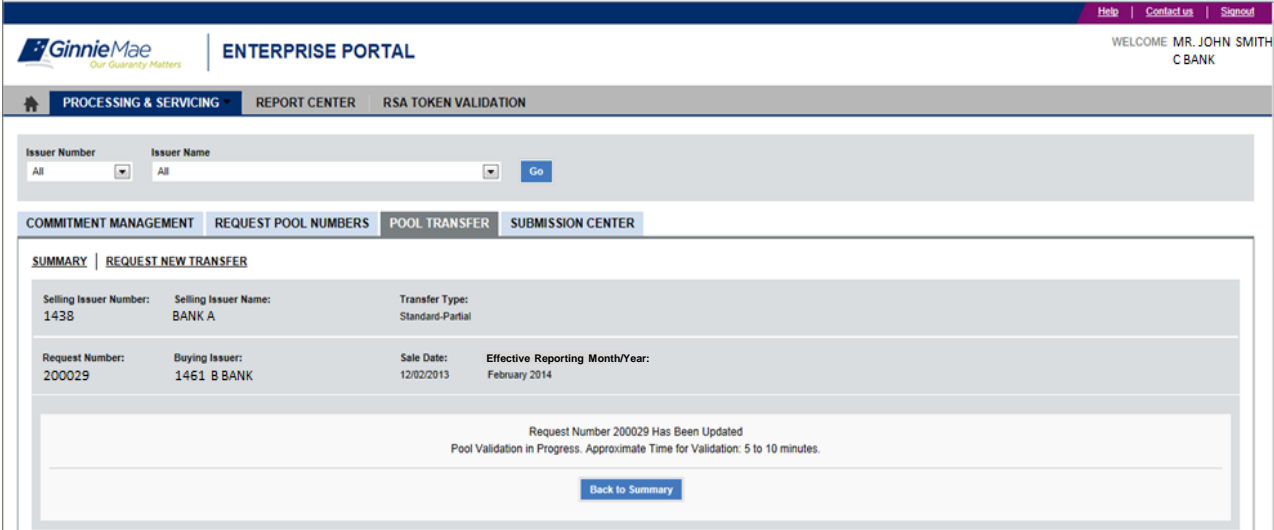
The screenshot shows a web application interface for uploading a Pool Number File. A 'Choose File to Upload' dialog box is open, displaying a list of files in the 'Pool TransferSystem' folder. The file 'PoolTemplate(1).csv' is selected and highlighted with a red box. Below the dialog, the 'ADD POOLS FOR TRANSFER' section shows a 'Browse...' button highlighted with a red box. The selected file path 'O:\G&S CAO\CAOFILES' is visible in the input field next to the 'Browse...' button.

PTS –Transfer Validation

Once the transfer is submitted, PTS will assign a request number and validate the initial data fields and pool numbers.

The Request Status on the **Summary Screen** will state “Validation in Process”.

To proceed, click **Back to Summary** button.



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WELCOME MR. JOHN SMITH
C BANK

PROCESSING & SERVICING | REPORT CENTER | RSA TOKEN VALIDATION

Issuer Number: All | Issuer Name: All | Go

COMMITMENT MANAGEMENT | REQUEST POOL NUMBERS | POOL TRANSFER | SUBMISSION CENTER

SUMMARY | REQUEST NEW TRANSFER

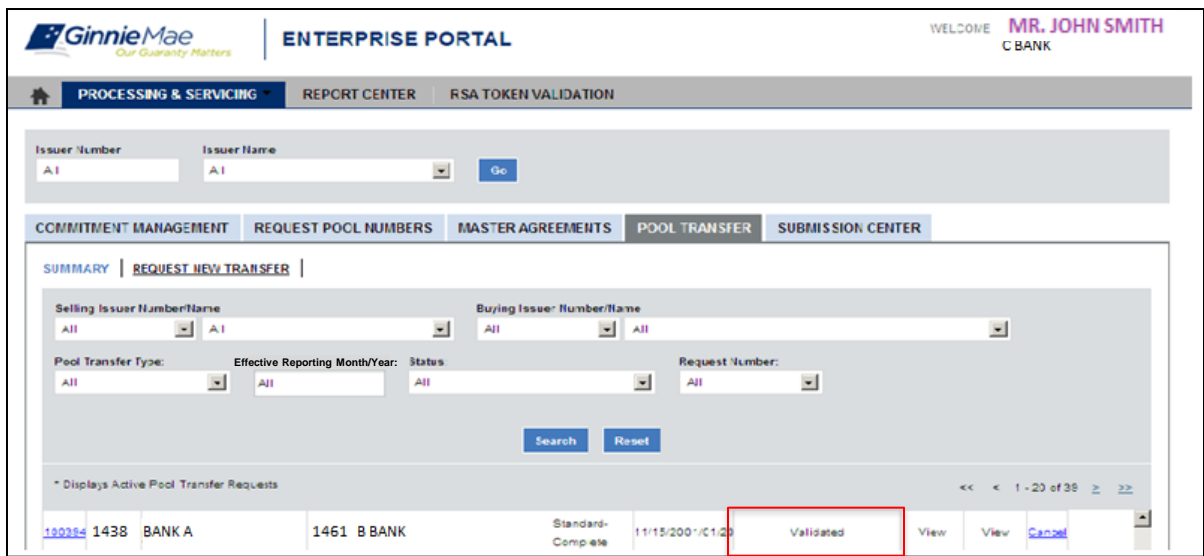
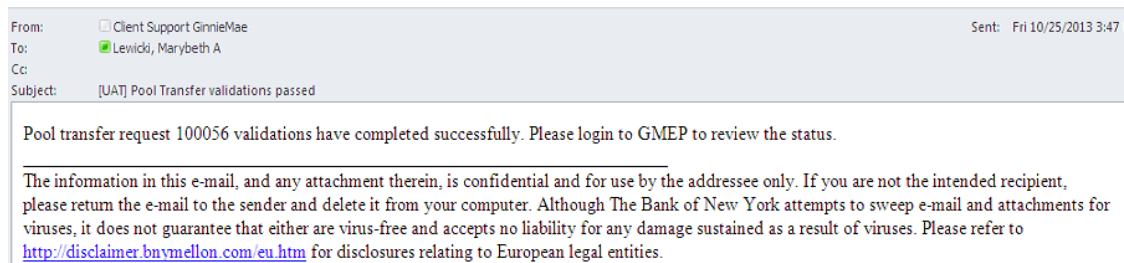
Selling Issuer Number: 1438	Selling Issuer Name: BANK A	Transfer Type: Standard-Partial	
Request Number: 200029	Buying Issuer: 1461 B BANK	Sale Date: 12/02/2013	Effective Reporting Month/Year: February 2014

Request Number 200029 Has Been Updated
Pool Validation in Progress. Approximate Time for Validation: 5 to 10 minutes.

[Back to Summary](#)

PTS –Transfer Request Validation

Once PTS has successfully validated the submission, the Selling Issuer will receive an email. Additionally the Summary Screen **Status** will update to **Validated**.



PTS – Transfer Detail Screen

The PTS Transfer Detail Screen consists of three sections:

- Transfer Request Summary
- Pool Number validations and exceptions
- Transfer Fee Payments

The Transfer Request Summary includes:

- Selling Issuer Number and Name
- Transfer Type
- Total Pool Count
- Valid Pool Count
- Warning Pool Count
- No Warning Pool Count
- Fatal Pool Counts
- Request Number
- Buying Issuer
- Sale Date
- Effective Reporting Month/Year
- Total Pool RPB Amount
- Valid Pool RPB Amount
- Warning Pool RPB Amount
- No Warning Pool RPB Amount
- Not Processed Pool Count

COMMITMENT MANAGEMENT		REQUEST POOL NUMBERS		MASTER AGREEMENTS		POOL TRANSFER		SUBMISSION CENTER	
<u>SUMMARY</u>		<u>REQUEST NEW TRANSFER</u>							
Selling Issuer Number:	Selling Issuer Name:	Transfer Type:	Total Pool Count:	Valid Pool Count:	Warning Pool Count:	No Warning Pool Count:	Fatal Pool Count:		
1438	BANK A	Standard-Complete	9825	0	0	0	0		
Request Number:	Buying Issuer:	Sale Date:	Effective Reporting Total Pool RPB Amount:	Valid Pool RPB Amount:	Warning Pool RPB Amount:	No Warning Pool RPB Amount:	Not Processed Pool Count:		
200046	1461 B BANK	12/04/2013	January 2014 \$0.00	\$0.00	\$0.00	\$0.00	9825		

PTS – Transfer Detail Screen – Pool Numbers

The second section displays the Pool Number detail and includes:

- Validated Pool Numbers
- Issuer Type-Pool Type
- Remaining Pool Balance (RPB)
- RPB Date

VALIDATED POOL LIST | [LIST OF POOLS WITH ERROR\(S\)](#) |

<< < 1 - 10 of 2925 >> >>

<input type="checkbox"/>	Pool Number	Issue Type - Pool Type	Remaining Pool Balance (RPB)	RPB Date
<input type="checkbox"/>	175570	X - SF	8,028.07	09/01/2013
<input type="checkbox"/>	234023	X - SF	17,057.00	09/01/2013
<input type="checkbox"/>	245712	X - SF	14,418.98	09/01/2013
<input type="checkbox"/>	245713	X - SF	14,670.33	09/01/2013
<input type="checkbox"/>	245717	X - SF	14,518.28	09/01/2013
<input type="checkbox"/>	251138	X - SF	40,032.47	09/01/2013
<input type="checkbox"/>	251141	X - SF	12,052.78	09/01/2013
<input type="checkbox"/>	251143	X - SF	12,731.30	09/01/2013
<input type="checkbox"/>	251145	X - SF	34,031.23	09/01/2013
<input type="checkbox"/>	253709	X - SF	2,778.31	09/01/2013

Validated Pool Numbers

The Issuer may select a single line or click to select all Pool numbers by checking the appropriate box.

The Issuer may also view any Pool Number exceptions by clicking the List of Pools with Errors Link.

A Pool Exception Screen will display a listing the pool exceptions as well as an error message describing each error.

POOL EXCEPTIONS

<< < 1 - 10 of 317 >> >>

<input type="checkbox"/>	Error Type	Pool Number	Error Message	Issue Type - Pool Type	Remaining Pool Balance (RPB)	RPB Date
<input type="checkbox"/>	Warning	555288	Pool is delinquent for recertification	X - SF	179,889.80	09/01/2013
<input type="checkbox"/>	Warning	659886	Pool is delinquent for recertification	X - SF	94,170.96	09/01/2013
<input type="checkbox"/>	Warning	659887	Pool is delinquent for recertification	X - SF	257,748.12	08/01/2013
<input type="checkbox"/>	Warning	659888	Pool is delinquent for recertification	M - JM	94,502.61	09/01/2013
<input type="checkbox"/>	Warning	659890	Pool is delinquent for recertification	X - SF	61,110.94	09/01/2013
<input type="checkbox"/>	Warning	659891	Pool is delinquent for recertification	X - SF	335,989.97	09/01/2013
<input type="checkbox"/>	Warning	659894	Pool is delinquent for recertification	X - SF	142,957.14	09/01/2013
<input type="checkbox"/>	Warning	659899	Pool is delinquent for recertification	X - SF	265,847.55	09/01/2013
<input type="checkbox"/>	Warning	660005	Pool is delinquent for recertification	X - SF	49,747.85	09/01/2013
<input type="checkbox"/>	Warning	660006	Pool is delinquent for recertification	X - SF	273,351.17	09/01/2013

Pool Exceptions

PTS – Transfer Detail Screen – Fee Payment Account

The final section of the Transfer Detail Screen is used to identify the Selling Issuers fee payment account.

Payment Info: Click the applicable button. Select an existing account or enter a new account.

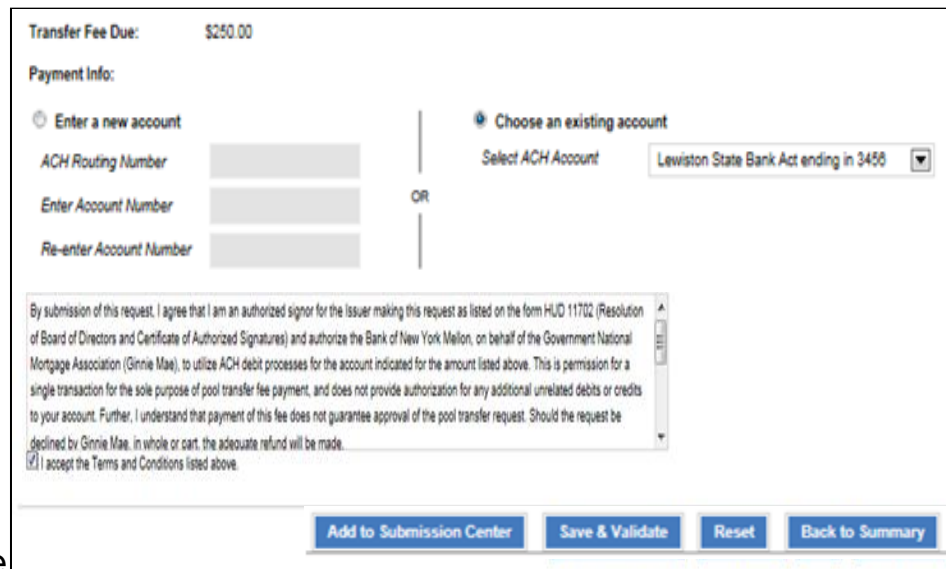
Existing Account: Select a previously identified account from the drop down menu.

Enter a New Account: Enter the ACH Routing Number and Account Number. Re-enter the account number to validate.

The Ginnie Mae terms and conditions regarding the PPA's authority to draft the commitment transfer fee are displayed.

Click the box provided to acknowledge and accept the Terms and Conditions.

Click **Save and Validate** to record any changes or **Add to Submission Center** to finalize the PTS request.



Transfer Fee Due: \$250.00

Payment Info:

Enter a new account
 ACH Routing Number
 Enter Account Number
 Re-enter Account Number

OR

Choose an existing account
 Select ACH Account: Lewiston State Bank Act ending in 3458

By submission of this request, I agree that I am an authorized signor for the Issuer making this request as listed on the form HUD 11702 (Resolution of Board of Directors and Certificate of Authorized Signatures) and authorize the Bank of New York Mellon, on behalf of the Government National Mortgage Association (Ginnie Mae), to utilize ACH debit processes for the account indicated for the amount listed above. This is permission for a single transaction for the sole purpose of pool transfer fee payment, and does not provide authorization for any additional unrelated debits or credits to your account. Further, I understand that payment of this fee does not guarantee approval of the pool transfer request. Should the request be declined by Ginnie Mae, in whole or part, the adequate refund will be made.

I accept the Terms and Conditions listed above.

PTS – Validation Successful

When the Selling Issuer submits a request, the status on the PTS Summary Screen updates to **Seller Submitted to Submission Center**.

GinnieMae ENTERPRISE PORTAL WELCOME **MR. JOHN SMITH**
BANK A

PROCESSING & SERVICING REPORT CENTER RSA TOKEN VALIDATION

Issuer Number: All Issuer Name: All

COMMITMENT MANAGEMENT REQUEST POOL NUMBERS MASTER AGREEMENTS POOL TRANSFER SUBMISSION CENTER

SUMMARY | REQUEST NEW TRANSFER

Selling Issuer Number/Name: All All Buying Issuer Number/Name: 1437 EAST BANK OF THE HUDSON Pool Transfer Type: All Effective Reporting Month/Year: All

Status: All Request Number: All

* Displays Active Pool Transfer Requests. << < 1 - 20 of 52 > >>

Request Number	Selling Issuer Number	Selling Issuer Name	Buying Issuer Number	Buying Issuer Name	Transfer Type	Sale Date	Effective Reporting Month/Year:	Status	Approval Letter	Assignment Agreement	Cancel
200046	1433	SERIES A MORTGAGE BANK	1437	EAST BANK OF THE HUDSON	Standard-Complete	12/04/2013	01/01/2014	Seller submitted to submission center		View	Cancel



Pool Transfer System
VALIDATION ERRORS

PTS – Validation Errors

When a transfer request fails the PTS validation edits, the Selling Issuer receives an email.

Pool transfer request 1004802 validations have completed successfully. Please login to GMPEP to review the status.

The information in this email, and any attachment therein, is confidential and for use by the addressee only. If you are not the intended recipient, please return the email to the sender and delete it from your computer. Although The Bank of New York attempts to sweep e-mail and attachments for viruses, it does not guarantee that either are virus-free and accepts no liability for any damage sustained as a result of viruses. Please refer to <http://disclaimer.bnymellon.com/eu.htm> for disclosures related to European legal entities.

To view the exception detail, log into PTS through the Ginnie Mae Enterprise Portal (GMPEP) .

PTS – Validation Errors

The PTS Summary Screen will reflect a status of Validation with Error for a request with exceptions.

To view the exception detail, click the **Request Number** or **Status** error message link.

SUMMARY | REQUEST NEW TRANSFER

Selling Issuer Number/Name: All | Buying Issuer Number/Name: All | Pool Transfer Type: All | Effective Reporting Month/Year: All

Status: All | Request Number: All

[Search](#) [Reset](#)

* Displays Active Pool Transfer Requests. << < 1 - 2 of 2 > >>

Request Number	Selling Issuer Number	Selling Issuer Name	Buying Issuer Number	Buying Issuer Name	Transfer Type	Sale Date	Effective Reporting Date	Status	Approval Letter	Assignment Agreement	Cancel
100050	1438	BANK A	1461	B BANK	Related Parties-Partial	10/31/2011	12/01/2011	Validating	View	View	Cancel
100053	1438	BANK A	1457	BANK B	Merger-Complete	10/31/2011	12/01/2011	Validation with Errors	View	View	Cancel

[Request New Transfer](#)

PTS – Validation Errors

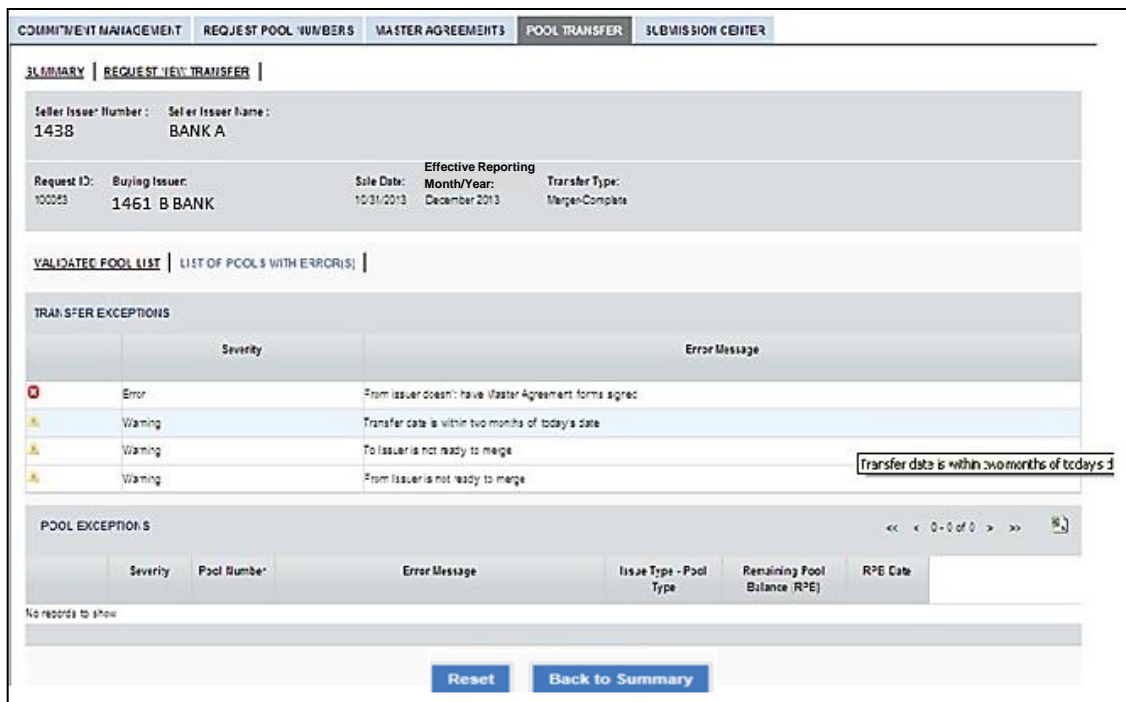
The **PTS Exception Screen** consists of two components and will display the validation errors as:

- Transfer Exceptions
- Pool Exceptions

Each exception is assigned a severity classification:

Warning: Indicates the request will continue.

Fatal: Stops the request until the identified issues have been resolved.



The screenshot shows a web application interface for managing pool transfers. The top navigation bar includes tabs for 'COMMITMENT MANAGEMENT', 'REQUEST POOL NUMBERS', 'MASTER AGREEMENTS', 'POOL TRANSFER', and 'SUBMISSION CENTER'. The current view is 'REQUEST (E) TRANSFER'.

Key information displayed includes:

- Seller Issue Number:** 1438
- Seller Issue Name:** BANK A
- Request ID:** 100053
- Buying Issuer:** 1461 B BANK
- Sale Date:** 10/31/2013
- Effective Reporting Month/Year:** December 2013
- Transfer Type:** Merge/Complete

The 'VALIDATED POOL LIST' section shows a list of pools with errors. The 'TRANSFER EXCEPTIONS' table is as follows:

Severity	Error Message
Error	From issuer doesn't have Master Agreement forms signed.
Warning	Transfer date is within two months of today's date
Warning	To issuer is not ready to merge
Warning	From issuer is not ready to merge

The 'POOL EXCEPTIONS' section shows a table with columns for Severity, Pool Number, Error Message, Issue Type - Pool Type, Remaining Pool Balance (RPE), and RPE Date. It currently displays 'No records to show'.

Buttons at the bottom include 'Reset' and 'Back to Summary'.

PTS - Pool Number Exceptions

To view Pool Number(s) error messages, click the **List of Pool Number Exceptions** Link on the Exception Screen.

A list of Pool number exceptions will display.

To remove Pool Numbers from a transfer request:

- Place a check in the field located on far left of the screen
- Click the **REMOVE** button.
- Submit by clicking **Save and Validate**.

The Issuer is prompted to confirm the deletion of selected pools. Click **YES** to continue.

POOL EXCEPTIONS							
<input type="checkbox"/>	Error Type	Pool Number	Error Message	Issue Type - Pool Type	Remaining Pool Balance (RPB)	RPB Date	
<input checked="" type="checkbox"/>	Warning	555288	Pool is delinquent for re certification	X - SF	179,889.80	09/01/2013	
<input checked="" type="checkbox"/>	Warning	659986	Pool is delinquent for re certification	X - SF	94,170.95	09/01/2013	
<input checked="" type="checkbox"/>	Warning	659987	Pool is delinquent for re certification	X - SF	257,746.12	08/01/2013	
<input checked="" type="checkbox"/>	Warning	659988	Pool is delinquent for re certification	M - JM	94,502.61	09/01/2013	
<input checked="" type="checkbox"/>	Warning	659990	Pool is delinquent for re certification	X - SF	61,110.94	09/01/2013	
<input checked="" type="checkbox"/>	Warning	659991	Pool is delinquent for re certification	X - SF	335,989.97	09/01/2013	
<input checked="" type="checkbox"/>	Warning	659994	Pool is delinquent for re certification	X - SF	142,967.14	09/01/2013	
<input checked="" type="checkbox"/>	Warning	659999	Pool is delinquent for re certification	X - SF	285,847.55	09/01/2013	
<input checked="" type="checkbox"/>	Warning	660005	Pool is delinquent for re certification	X - SF	49,747.85	09/01/2013	
<input checked="" type="checkbox"/>	Warning	660006	Pool is delinquent for re certification	X - SF	273,351.17	09/01/2013	

PROCESSING & SERVICES | REPORT CENTER | RSA TOKEN VALIDATION

Issuer Name: Series A Mortgage Bank

COMMITMENT MANAGEMENT | REQUEST POOL NUMBERS | MASTER AGREEMENTS | POOL TRANSFER | SUBMISSION CENTER

SUMMARY | REQUEST NEW TRANSFER

Selling Issuer Number: 2062	Selling Issuer Name: Series A Mortgage Bank	Total Pool Counts: 300	Valid Pool Counts: 0	Warning Pool Counts: 0	No Warning Pool Counts: 0	Failed Pool Counts: 0		
Request Number: 10385	Buying Issuer: 1433 - C Bank	Sale Date: 11/01/2013	Effective Reporting Month/Year: December 2013	Total Pool RPB Amount: 0.00	Valid Pool RPB Amount: 0.00	Warning Pool RPB Amount: 0.00	No Warning Pool RPB Amount: 0.00	Not Processed Pool Counts: 300

VALIDATED POOL LIST | LIST OF POOL S WITH ERRORS

Transfer Exceptions	Error Type	Error Message
<input type="checkbox"/>	Fail	To issuer doesn't have Master Agreement forms signed
<input type="checkbox"/>	Fail	To issuer has an ALL POOL transfer pending - transaction
<input type="checkbox"/>	Fail	From issuer doesn't have Master Agreement forms signed
<input type="checkbox"/>	Warning	Transfer date is within two months of today's date
<input type="checkbox"/>	Warning	To issuer is not in good standing

POOL EXCEPTIONS

<input type="checkbox"/>	Error Type	Pool Number	Error Message	Issue Type - Pool Type	Remaining Pool Balance (RPB)	RPB Date
--------------------------	------------	-------------	---------------	------------------------	------------------------------	----------

No records to show

Buttons: Save & Validate, Reset, Back to Summary

CONFIRM DELETE

Are you sure you want to delete the following pool(s) 555288, 659986, 659987, 659988, 659990, 659991, 659994, 659999, 660005, 660006 ?

Buttons: Yes, No

Pool Transfer System

SUBMISSION CENTER – SELLING ISSUER

PTS - Selling Issuer - Submission Center Approval

An Authorized Signatory of the Selling Issuer must access the Submission Center to review and authenticate a successfully validated transfer request.

To access the Submission Center, click on the tab located on the **IPMS Summary Screen**.

View by: Select Pool Transfer

A list of Pending requests displays.

To view the Pool Transfer Detail:

- Click the **View link** located in the Actions Field.

To approve a request:

- Check the box to the left of the Issuer Number and

Click **Submit**.

POOL TRANSFER DETAILS

Selling Issuer Number:	2708	Selling Issuer Name:	UNIVERSAL AMERICAN MFG CO. LLC
Request Number:	100037	Buying Issuer:	2084 U. S. BANK, NA
Validated Pools:	291	Sale Date:	11/02/2013
		Effective Reporting Month/Year:	03/01/2014
		Transfer Type:	Standard-Partial
		Total RPS:	\$ 854,022,019.94

POOLS FOR TRANSFER

Pool Number	Issue Type - Pool Type	Remaining Principal Balance/RPS	RPS Date
710046	X - SF	1,464,045	10/09/2013
710047	X - SF	2,961,989	06/09/2013
710048	X - SF	1,100,304	24/09/2013

PTS - Selling Issuer- Submission Center Approval

The Selling Issuer's Authorized Signatory must acknowledge the Ginnie Mae Terms and Conditions.

Place a check in the field titled *"I accept the Terms and Conditions listed above"*.

Click **Submit Form**.

LEGAL INFORMATION

Each statement, report, and compilation of data or information submitted in connection with a Ginnie Mae MBS Program by an Issuer, funds custodian, document custodian, subcontract servicer, or other person or entity, directly or indirectly, to Ginnie Mae, its employees, its agents or its contractors (including, without limitation, the Ginnie Mae Reports Group) is deemed a submission to the United States that is relied upon by Ginnie Mae in the operation of a Ginnie Mae MBS Program. Submission of a statement, report, or compilation of data or information is deemed to be a certification by the person making the submission that each matter stated therein is true, correct, complete, and in accordance with the instructions issued by Ginnie Mae in connection with the statement, report, or compilation.

The form(s) or Agreement(s) being submitted will create a legally binding contract between my entity and Ginnie Mae. Further, I understand information submitted within this/these form(s) or Agreement(s) may be used to produce additional documentation that will also be legally binding and accepted as such.

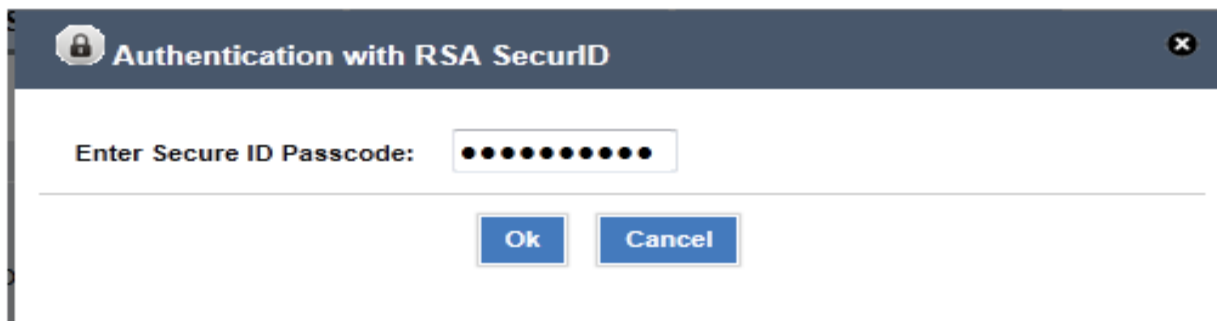
CAUTION: The submission of a false or misleading statement, report, or item of data or information to the United States may result in the imposition of severe criminal and civil liabilities, sanctions, and penalties, including, without limitation, fines and imprisonment.

I accept the Terms and Conditions listed above

Submit Form **Cancel**

PTS – Selling Issuer Submission - Authentication

The Selling Issuer Authorized Signatory is prompted to authenticate the request by entering their 4-digit PIN and the 6-digit pass code displayed on their RSA SecurID Token.



Authentication with RSA SecurID

Enter Secure ID Passcode: [●●●●●●●●]

[Ok] [Cancel]

Upon completion, PTS will display a confirmation. The request is directed to the Buying Issuer for review and approval.

CONFIRMATION				
Issuer Number	Description	Status	Message	Transaction Id
1461/B BANK	Seller submitted to submission center for approval	Success	Success	100394

[Close]

PTS – Selling Issuer Submission – Status Update

After a transfer request has been successfully authenticated, the Selling Issuer status on the **PTS Summary Screen** will update to **Pending buyer approval**.

SUMMARY | [REQUEST NEW TRANSFER](#)

Selling Issuer Number/Name: All All All All
 Buying Issuer Number/Name: All All All All
 Pool Transfer Type: All All
 Effective Reporting Month/Year: All

Status: All All
 Request Number: All All

* Displays Active Pool Transfer Requests. << < 1 - 4 of 4 > >>

Request Number	Selling Issuer Number	Selling Issuer Name	Buying Issuer Number	Buying Issuer Name	Transfer Type	Sale Date	Effective Reporting Date	Status	Approval Letter	Assignment Agreement	Cancel
200026	1433	SERIES A MORTGAGE BANK	1437	EAST BANK OF THE HUDSON	Standard-Complete	11/29/2013	12/01/2013	Pending buyer approval	View	View	Cancel
100145	1433	SERIES A MORTGAGE BANK	1437	EAST BANK OF THE HUDSON	Standard-Complete	10/03/2013	03/01/2014	Posted	View	View	Cancel

Pool Transfer System
BUYING ISSUER

PTS – Buying Issuer – Accept Transfer

The Buying Issuer receives an email notification of a pending pool transfer request requiring their attention.

Pool transfer request 100394 has been submitted by Issuer 1433-Series A Mortgage Bank at 11/15/2013 15:48, request Type Standard.

The information in this email, and any attachment therein, is confidential and for use by the addressee only. If you are not the intended recipient, please return the email to the sender and delete it from your computer. Although The Bank of New York attempts to sweep e-mail and attachments for viruses, it does not guarantee that either are virus-free and accepts no liability for any damage sustained as a result of viruses. Please refer to <http://disclaimer.bnymellon.com/eu.htm> for disclosures related to European legal entities.

PTS – Buying Issuer – Pending Transfer Review

A transfer request requiring the Buying Issuer’s review will be reflected with a status of **POSTED** on the PTS **Summary Screen**.

The **Summary Screen** will display:

- Request Number
- Selling Issuer Number
- Selling Issuer Name
- Buying Issuer Number
- Buying Issuer Name
- Transfer Type
- Sale Date
- Effective Reporting Date
- Status
- Approval Letter
- Assignment Agreement
- Cancel

SUMMARY | [REQUEST NEW TRANSFER](#)

Selling Issuer Number/Name: All All Buying Issuer Number/Name: All All Pool Transfer Type: All Effective Reporting Month/Year: All

Status: All Request Number: All

[Search](#) [Reset](#)

* Displays Active Pool Transfer Requests. << < 1-4 of 4 > >>

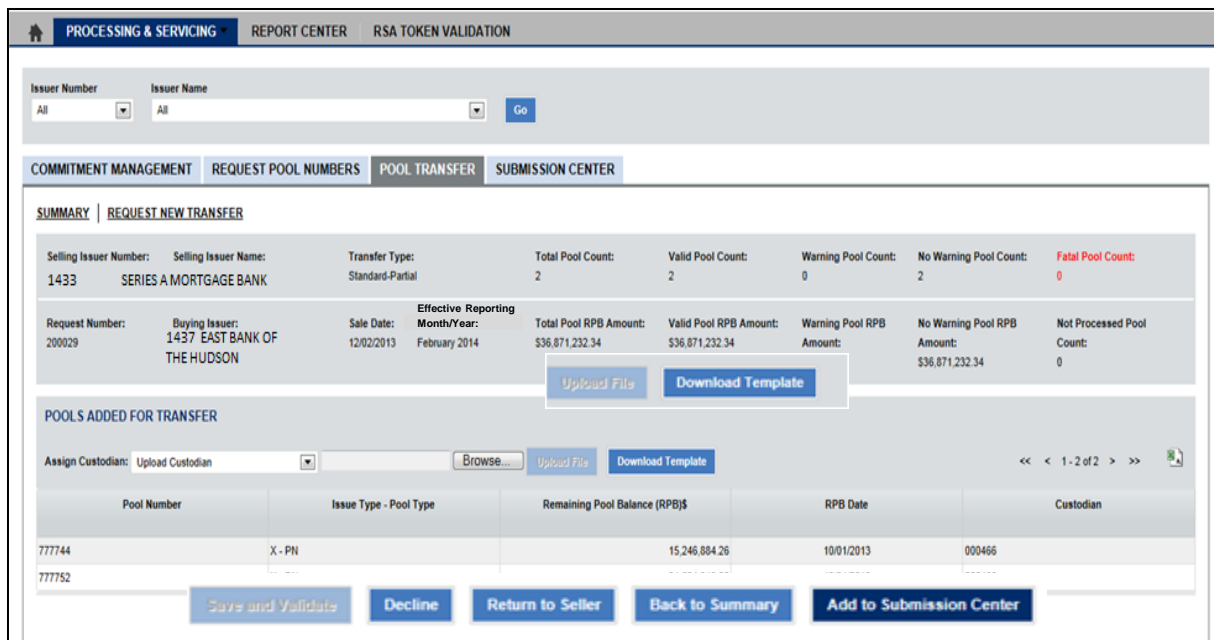
Request Number	Selling Issuer Number	Selling Issuer Name	Buying Issuer Number	Buying Issuer Name	Transfer Type	Sale Date	Effective Reporting Date	Status	Approval Letter	Assignment Agreement	Cancel
200026	1433	SERIES A MORTGAGE BANK	1437	EAST BANK OF THE HUDSON	Standard-Complete	11/29/2013	12/01/2013	Pending buyer approval	View	View	Cancel
100145	1433	SERIES A MORTGAGE BANK	1437	EAST BANK OF THE HUDSON	Standard-Complete	10/03/2013	03/01/2014	Posted	View	View	Cancel

Click the **Request Number** link to view the transfer detail.

PTS – Buying Issuer Transfer Detail

The PTS **Transfer Detail Screen** displays the following fields:

- Selling Issuer Number and Name
- Transfer Type
- Total Pool Count
- Valid Pool Count
- Warning Pool Count
- No Warning Pool Count
- Fatal Pool Count
- Request Number
- Buying Issuer
- Sale Date
- Effective Reporting Month/Year
- Total Pool RPB Amount
- Valid Pool RPB Amount
- Warning Pool RPB Amount
- No Warning Pool RPB Amount
- Not Processed Pool Count



The screenshot shows the 'POOL TRANSFER' section of the PTS interface. It includes a summary table with the following data:

Selling Issuer Number:	Selling Issuer Name:	Transfer Type:	Total Pool Count:	Valid Pool Count:	Warning Pool Count:	No Warning Pool Count:	Fatal Pool Count:
1433	SERIES A MORTGAGE BANK	Standard-Partial	2	2	0	2	0

Request Number:	Buying Issuer:	Sale Date:	Effective Reporting Month/Year:	Total Pool RPB Amount:	Valid Pool RPB Amount:	Warning Pool RPB Amount:	No Warning Pool RPB Amount:	Not Processed Pool Count:
200029	1437 EAST BANK OF THE HUDSON	12/02/2013	February 2014	\$36,871,232.34	\$36,871,232.34		\$36,871,232.34	0

POOLS ADDED FOR TRANSFER

Pool Number	Issue Type - Pool Type	Remaining Pool Balance (RPB)\$	RPB Date	Custodian
777744	X - PN	15,246,884.26	10/01/2013	000466
777752				

Buttons at the bottom: Save and Validate, Decline, Return to Seller, Back to Summary, Add to Submission Center.

PTS – Buying Issuer – Document Custodian Assignment

The Buying Issuer must assign a document custodian to the pool numbers being transferred.

When a single document custodian is being assigned, the Buying Issuer may select the appropriate entity from the drop down menu



Or

If multiple document custodians are being assigned, the Buying Issuer can download a template to record the appropriate document custodian for each Pool Number.

SUMMARY | **REQUEST NEW TRANSFER**

Selling Issuer Number: 1433	Selling Issuer Name: SERIES A MORTGAGE BANK	Total Pool Counts: 459	Valid Pool Counts: 459	Warning Pool Counts: 2	No Warning Pool Counts: 457	Fatal Pool Counts: 0		
Request Number: 100483	Buying Issuer: 1437 EAST BANK OF THE HUDSON	Sale Date: 11/19/2013	Effective Reporting Month/Year: December 2013	Total Pool RPB Amounts: 38,905,127.67	Valid Pool RPB Amounts: 38,905,127.67	Warning Pool RPB Amounts: 34,322.93	No Warning Pool RPB Amounts: 38,870,804.74	Not Processed Pool Counts: 0

POOLS ADDED FOR TRANSFER

Assign Custodian: << < 1 - 10 of 459 >> >>

Pool Number	Issue Type - Pool Type	Remaining Pool Balance (RPB)	RPB Date	Custodian
148718	X - SF	13,558,7409/01/2013		000131
155094	X - SF	3,272,9509/01/2013		000131
155095	X - SF	11,025,1209/01/2013		000131
152918	X - SF	8,074,0209/01/2013		000131
160003	M - SF	7,179,7909/01/2013		000131
173006	M - SF	10,219,3509/01/2013		000131
178410	X - SF	25,776,2009/01/2013		000131
184347	X - SF	9,651,1409/01/2013		000131
188437	X - SF	8,087,1209/01/2013		000131
188439	X - SF	11,730,5709/01/2013		000131

The completed file is then uploaded to PTS to record the assignments.

PTS – Buying Issuer – Document Custodian Assignment - Upload

To import a file of Document Custodian Assignments:

Click the **Download template** button to begin.

A file download box will appear, click **open** to create the template.

Enter the pool numbers and associated document custodian IDs on the template and **save as a CSV file** to a designated folder/directory.

The screenshot shows the GinnieMae Enterprise Portal interface. The main navigation bar includes 'PROCESSING & SERVICING', 'REPORT CENTER', and 'RSA TOKEN VALIDATION'. The current page is 'POOL TRANSFER' under 'COMMITMENT MANAGEMENT'. A summary table displays pool statistics, and a table below lists pool numbers and custodian IDs. A 'Download Template' button is highlighted with a red box, and a file download dialog box is open over it.

Pool Number	Custodian
716046	000131
716047	000131
716049	000131
736133	000131
736134	000131
736135	000131
736136	000151
736137	000151
736138	000151
736139	000151
736140	000151
736141	000151
736142	000151
736143	000151

PTS – Buying Issuer – Document Custodian Assignment - Import

To upload the Document Custodian template, click the **Browse** button.

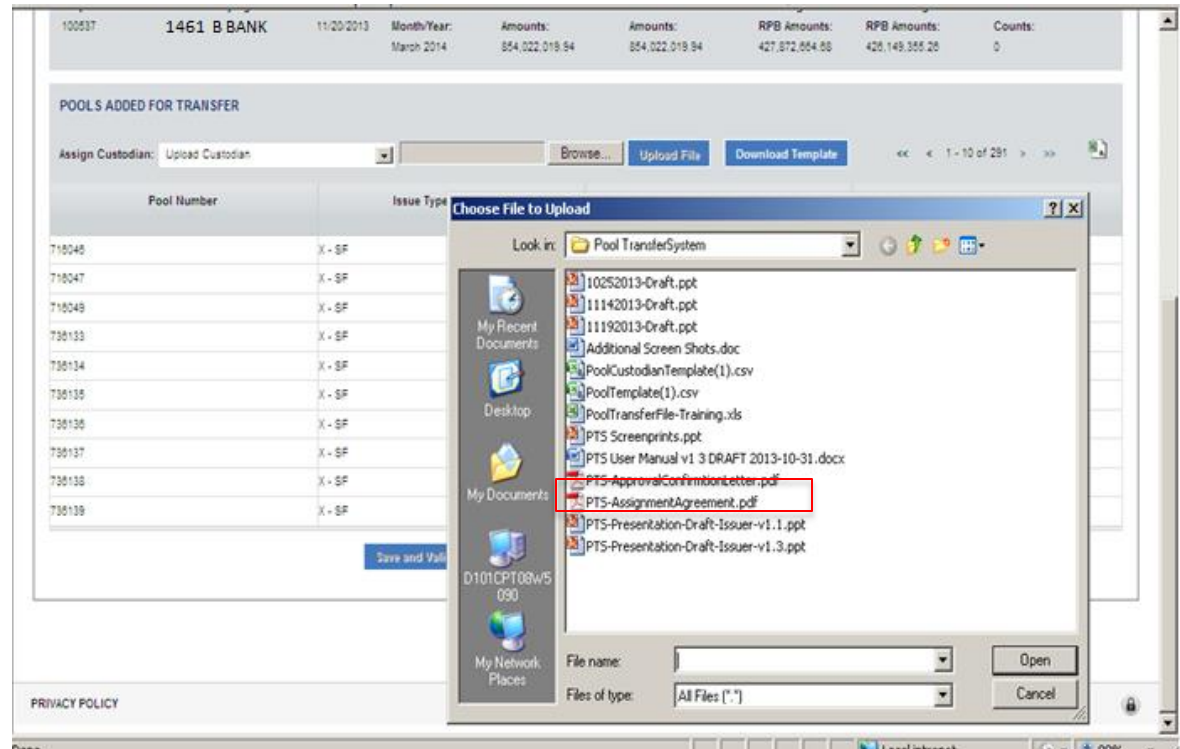
The Issuer is directed to the folder/file directory where the pool number template is saved.

Select the template name and click **Open**.

The selected file name and path will display in the field directly to the left of the browse button.

Click **Upload**. The Document Custodian ID will display.

Click **Save and Validate** to proceed.

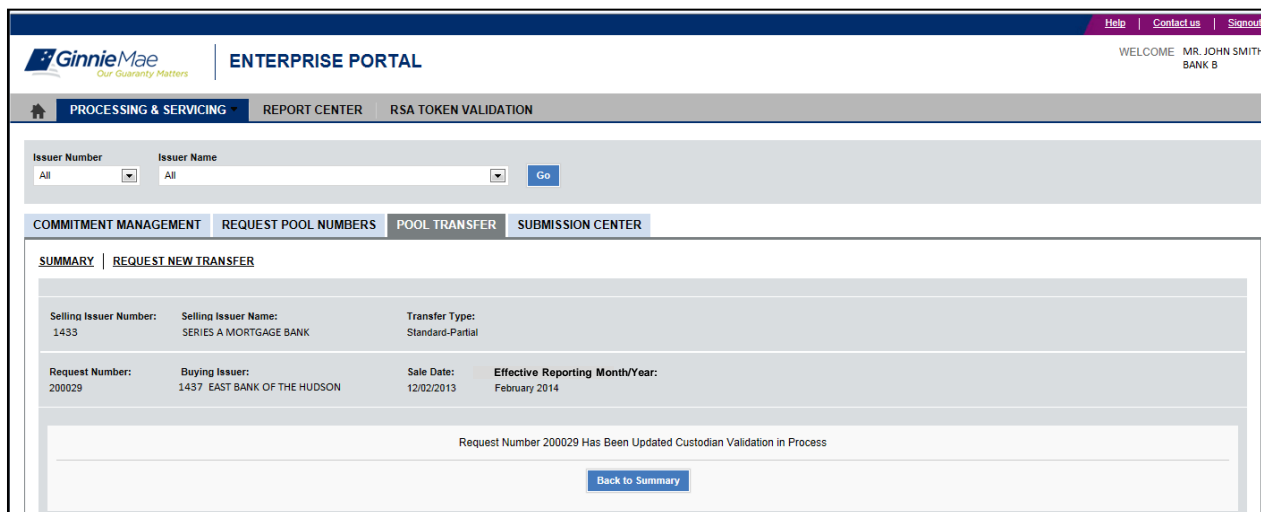


PTS – Buying Issuer – Document Custodian Validation

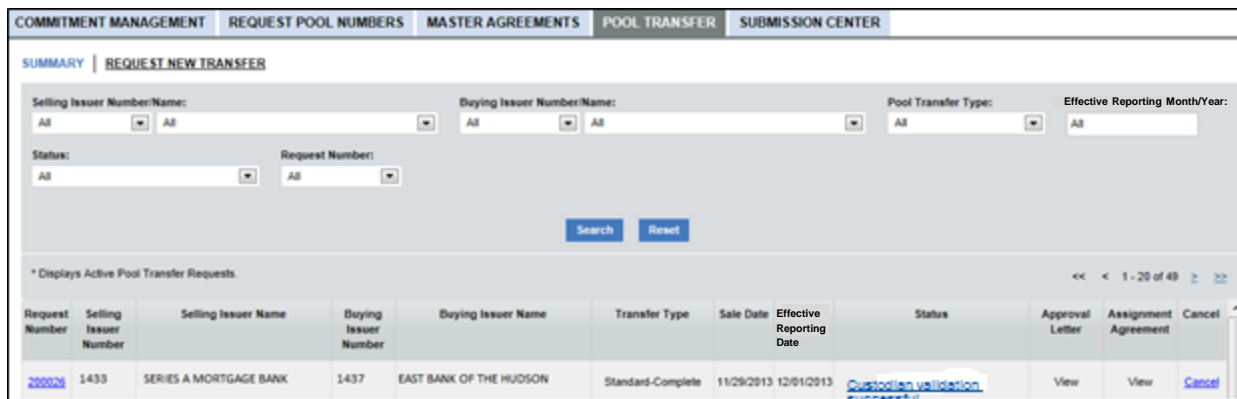
PTS will display the following message:

“Request Number XXXXX Has Been Updated Document Custodian Validation in Process.”

And the **Summary Screen** status will reflect Custodian Validation Successful.



The screenshot shows the GinnieMae Enterprise Portal interface. At the top, there is a navigation bar with 'Help', 'Contact us', and 'Signout' links. Below this, the user is logged in as 'MR. JOHN SMITH BANK B'. The main menu includes 'PROCESSING & SERVICING', 'REPORT CENTER', and 'RSA TOKEN VALIDATION'. The current view is 'SUBMISSION CENTER' under 'REQUEST NEW TRANSFER'. A message states: 'Request Number 200029 Has Been Updated Custodian Validation in Process'. A 'Back to Summary' button is visible at the bottom of the message area.



The screenshot shows the 'SUMMARY' view of the 'REQUEST NEW TRANSFER' screen. It includes search filters for 'Selling Issuer Number/Name', 'Buying Issuer Number/Name', 'Pool Transfer Type', and 'Effective Reporting Month/Year'. Below the filters is a table of active pool transfer requests. The table has columns for Request Number, Selling Issuer Number, Selling Issuer Name, Buying Issuer Number, Buying Issuer Name, Transfer Type, Sale Date, Effective Reporting Date, Status, Approval Letter, Assignment Agreement, and Cancel. The first row shows request number 200029 with a status of 'Custodian validation successful'.

Request Number	Selling Issuer Number	Selling Issuer Name	Buying Issuer Number	Buying Issuer Name	Transfer Type	Sale Date	Effective Reporting Date	Status	Approval Letter	Assignment Agreement	Cancel
200029	1433	SERIES A MORTGAGE BANK	1437	EAST BANK OF THE HUDSON	Standard-Complete	11/29/2013	12/01/2013	Custodian validation successful	View	View	Cancel

PTS – Buying Issuer – Document Custodian Validation

Once PTS has completed the Document Custodian validation, the Buying Issuer will receive a confirmation e-mail.

Pool transfer request 200121 custodian validations have completed successfully. Please login to GMEP to review the status.

The information in this e-mail, and any attachment therein, is confidential and for use by the addressee only. If you are not the intended recipient, please return the e-mail to the sender and delete it from your computer. Although The Bank of New York attempts to sweep e-mail and attachments for viruses, it does not guarantee that either are virus-free and accepts no liability for any damage sustained as a result of viruses. Please refer to <http://disclaimer.bnymellon.com/eu.htm> for disclosures relating to European legal entities.

PTS – Buying Issuer - Approval

Once the Document Custodian(s) are assigned and validations completed, the Buying Issuer must finalize the request by clicking the **Add to Submission Center** button.

PROCESSING & SERVICING
REPORT CENTER
RSA TOKEN VALIDATION

Issuer Number: Issuer Name: Go

COMMITMENT MANAGEMENT
REQUEST POOL NUMBERS
POOL TRANSFER
SUBMISSION CENTER

SUMMARY | [REQUEST NEW TRANSFER](#)

Selling Issuer Number:	Selling Issuer Name:	Transfer Type:	Total Pool Count:	Valid Pool Count:	Warning Pool Count:	No Warning Pool Count:	Fatal Pool Count:
1435	Bank A	Standard-Partial	2	2	0	2	0

Request Number:	Buying Issuer:	Sale Date:	Effective Reporting Month/Year:	Total Pool RPB Amount:	Valid Pool RPB Amount:	Warning Pool RPB Amount:	No Warning Pool RPB Amount:	Not Processed Pool Count:
200029	1457 Bank B	12/02/2013	February 2014	\$36,871,232.34	\$36,871,232.34	\$0.00	\$36,871,232.34	0

POOLS ADDED FOR TRANSFER

Assign Custodian: Browse... Upload File Download Template

Pool Number	Issue Type - Pool Type	Remaining Pool Balance (RPB)\$	RPB Date	Custodian
777744	X - PN	15,246,854.26	10/01/2013	000466
777752	X - PN	21,624,348.08	10/01/2013	000466

Save and Validate
Decline
Return to Seller
Back to Summary
Add to Submission Center

PTS – Buying Issuer - Return Transfer Request to Seller

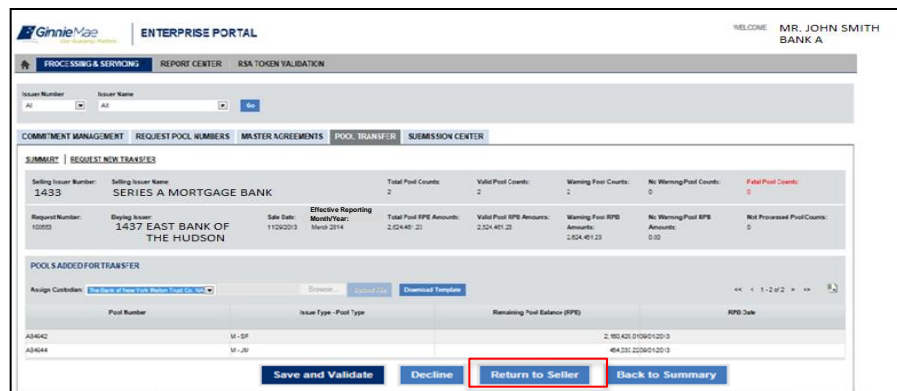
When a Buying Issuer has proposed changes to a transfer request, they must provide a reason to the Selling Issuer within two days.

- ONLY Selling Issuers can make changes to pools (add or remove pools), and return request to Buyer.

Click **Return to Seller** to display a Reason box and enter clear concise comments explaining the reason for declining the request.

Click **Return to Seller** button to return the request to the Selling Issuer. The Selling Issuer will receive an email and the status on the PTS **Summary Screen** will change to **Returned by Buyer**.

Upon an additional submission by Selling Issuer, the Buying Issuer timeline to respond will be reset to two days.



The screenshot shows the 'ENTERPRISE PORTAL' interface. At the top right, it says 'WELCOME MR. JOHN SMITH BANK A'. Below the navigation bar, there are tabs for 'COMMITMENT MANAGEMENT', 'REQUEST POOL NUMBERS', 'MASTER AGREEMENTS', 'POOL TRANSFER', and 'SUBMISSION CENTER'. The 'POOL TRANSFER' tab is active. The main content area displays a summary for a transfer request. The 'Return to Seller' button is highlighted with a red box.

SUMMARY		REQUEST NEW TASKS		Total Pool Counts	Valid Pool Counts	Warning Pool Counts	No Warning Pool Counts	Failed Pool Counts	
Selling Issuer Number:	1433	Selling Issuer Name:	SERIES A MORTGAGE BANK	2	2	2	0	0	
Request Number:	10353	Buying Issuer:	1437 EAST BANK OF THE HUDSON	Total Pool PPE Amounts:	2,524,491.23	Valid Pool PPE Amounts:	2,524,491.23	Warning Pool PPE Amounts:	2,524,491.23
		Sale Date:	11/29/2013	Effective Reporting Month/Year:	March 2014	No Warning Pool PPE Amounts:	0.00	Not Processed Pool Counts:	0

POOLS ADDED FOR TRANSFER				
Assign Condition	Pool Number	Issue Type - Pool Type	Remaining Pool Balance (PPE)	RPE Date
AS442	M-30			2/19/2013/04/2013
AS444	M-30			4/29/2009/4/2013



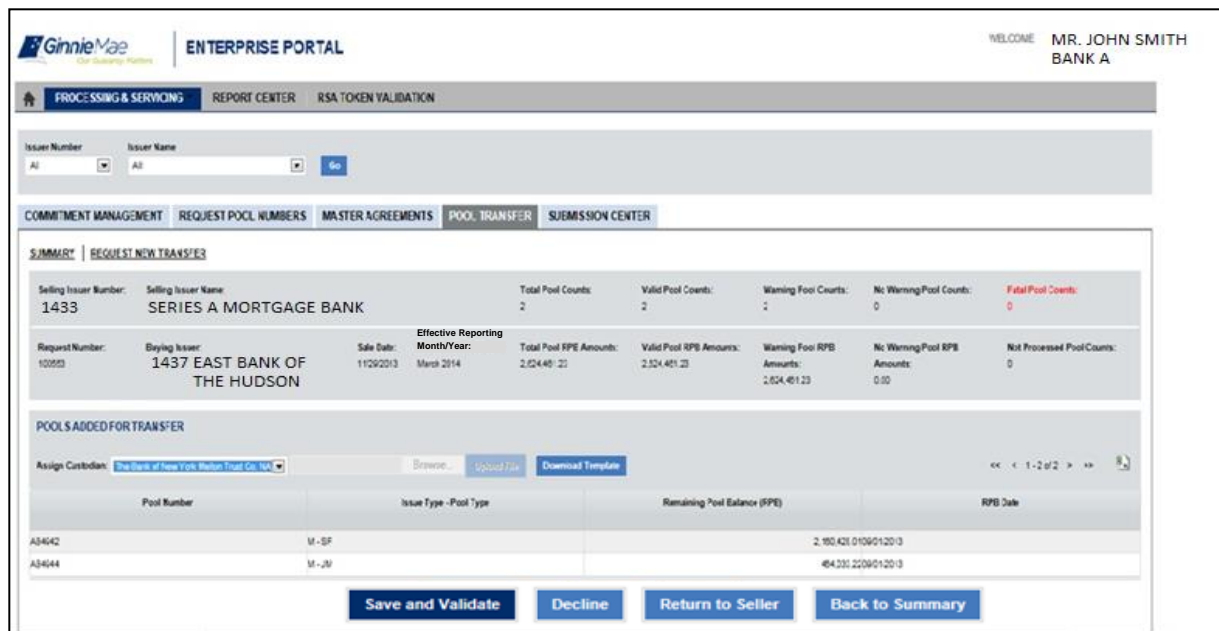
The 'REASON' dialog box is shown. It has a title bar with 'REASON' and a close button. Below the title bar is a text input field with the placeholder text 'Type your message here'. Below the input field, it says 'Maximum is 1,000 characters. You have 978 characters remaining.' At the bottom of the dialog is a 'Decline' button.

PTS – Buying Issuer - Decline

The Buying Issuer may decline a transfer request by clicking the **Decline** button.

The User is prompted to enter comments in the Reason box and clicks the **Decline** button to proceed.

The **Summary Screen** status will change from Pending Buyer Approval to Buyer Declined Request.



ENTERPRISE PORTAL WELCOME MR. JOHN SMITH BANK A

PROCESSING & SERVICES REPORT CENTER RSA TOKEN VALIDATION

Issuer Number: AI Issuer Name: AI

COMMITMENT MANAGEMENT REQUEST POOL NUMBERS MASTER AGREEMENTS **POOL TRANSFER** SUBMISSION CENTER

SUMMARY | REQUEST NEW TRANSFER

Selling Issuer Number: 1433	Selling Issuer Name: SERIES A MORTGAGE BANK	Total Pool Counts: 2	Valid Pool Counts: 2	Warning Pool Counts: 2	No Warning Pool Counts: 0	Fatal Pool Counts: 0		
Request Number: 10293	Buying Issuer: 1437 EAST BANK OF THE HUDSON	Sale Date: 11/29/2013	Effective Reporting Month/Year: March 2014	Total Pool RPB Amounts: 2,524,461.23	Valid Pool RPB Amounts: 2,524,461.23	Warning Pool RPB Amounts: 2,624,461.23	No Warning Pool RPB Amounts: 0.00	Not Processed Pool Counts: 0

POOLS ADDED FOR TRANSFER

Assign Custodian: << < 1-2 of 2 >> >

Pool Number	Issue Type - Pool Type	Remaining Pool Balance (RPB)	RPB Date
A3442	M - SF	2,180,421.01	01/09/2013
A3444	M - JV	464,040.22	01/09/2013

REASON

Reason

Type your message here

Maximum is 1,000 characters. You have 978 characters remaining.



Pool Transfer System

SUBMISSION CENTER – BUYING ISSUER

PTS – Buying Issuer – Submission Center Approval

An Authorized Signatory of the Buying Issuer must access the Submission Center to review and accept a successfully validated transfer request.

To access the Submission Center, click on the tab located on the **IPMS Summary Screen**.

View by: Select Pool Transfer

A list of Pending requests displays.

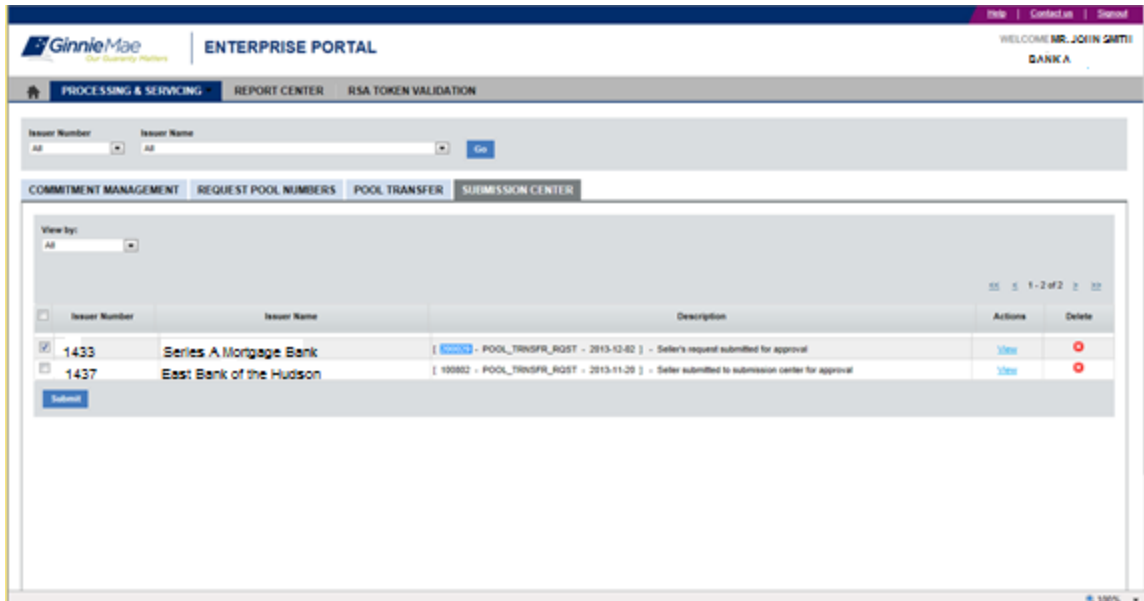
To view the Pool Transfer Detail:

- Click the View link located in the Actions Field.

To approve a request:

- Check the box to the left of the Issuer Number and

Click **Submit**.



The screenshot shows the 'ENTERPRISE PORTAL' interface for 'GinnieMae'. The user is logged in as 'MR. JOHN SMITH BANKA'. The navigation menu includes 'PROCESSING & SERVICING', 'REPORT CENTER', and 'RSA TOKEN VALIDATION'. The main content area is titled 'SUBMISSION CENTER' and contains a table of pending requests.

Issuer Number	Issuer Name	Description	Actions	Delete
<input checked="" type="checkbox"/> 1433	Series A Mortgage Bank	[10000] - POOL_TRANSFR_RQST - 2013-12-02 - Seller's request submitted for approval	View	Delete
<input type="checkbox"/> 1437	East Bank of the Hudson	[10002] - POOL_TRANSFR_RQST - 2013-11-20 - Seller submitted to submission center for approval	View	Delete

At the bottom of the table is a 'Submit' button. The interface also includes search filters for 'Issuer Number' and 'Issuer Name', and a 'View by' dropdown menu set to 'All'.

PTS – Buying Issuer – Submission Center Approval

The Buying Issuer Authorized Signatory must acknowledge the Ginnie Mae Terms and Conditions.

Place a check in the field titled *“I accept the Terms and Conditions listed above”*.

Click **Submit Form**.

LEGAL INFORMATION

Each statement, report, and compilation of data or information submitted in connection with a Ginnie Mae MBS Program by an Issuer, funds custodian, document custodian, subcontract servicer, or other person or entity, directly or indirectly, to Ginnie Mae, its employees, its agents or its contractors (including, without limitation, the Ginnie Mae Reports Group) is deemed a submission to the United States that is relied upon by Ginnie Mae in the operation of a Ginnie Mae MBS Program. Submission of a statement, report, or compilation of data or information is deemed to be a certification by the person making the submission that each matter stated therein is true, correct, complete, and in accordance with the instructions issued by Ginnie Mae in connection with the statement, report, or compilation.

The form(s) or Agreement(s) being submitted will create a legally binding contract between my entity and Ginnie Mae. Further, I understand information submitted within this/these form(s) or Agreement(s) may be used to produce additional documentation that will also be legally binding and accepted as such.

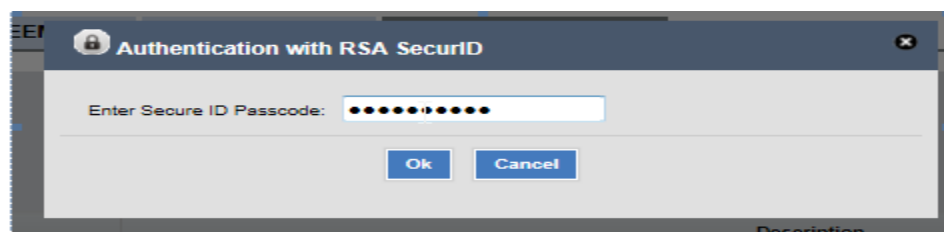
CAUTION: The submission of a false or misleading statement, report, or item of data or information to the United States may result in the imposition of severe criminal and civil liabilities, sanctions, and penalties, including, without limitation, fines and

I accept the Terms and Conditions listed above

Submit Form **Cancel**

PTS – Buying Issuer Submission - Authentication

The Buying Issuer Authorized Signatory is prompted to authenticate the request by entering their 4-digit PIN and the 6-digit pass code displayed on their RSA SecurID Token.



Upon completion, PTS will display a confirmation. The request is directed to the Ginnie Mae Account Executive for review and approval.

CONFIRMATION				
Issuer Number	Description	Status	Message	Transaction Id
1437/EAST BANK OF THE HUDSON	Buyer submitted to submission center for approval	Success	Success	100553

[Close](#)

PTS – Buying Issuer Submission – Status Update

After the transfer request has been successfully authenticated, the Buying Issuer status on the PTS Summary Screen will update to **Pending Ginnie Mae Approval**.

The screenshot shows the GinnieMae Enterprise Portal interface. At the top, it says 'ENTERPRISE PORTAL' and 'WELCOME MR. JOHN SMITH BANK A'. Below this are navigation tabs: 'PROCESSING & SERVICING', 'REPORT CENTER', and 'RSA TOKEN VALIDATION'. The main content area has a search bar for 'Issuer Number' and 'Issuer Name', both set to 'AI'. Below that are tabs for 'COMMITMENT MANAGEMENT', 'REQUEST POOL NUMBERS', 'MASTER AGREEMENTS', 'POOL TRANSFER', and 'SUBMISSION CENTER'. The 'SUBMISSION CENTER' tab is active, showing a 'REQUEST NEW TRANSFER' form. The form includes fields for 'Selling Issuer Number/Name', 'Buying Issuer Number/Name', 'Pool Transfer Type', 'Effective Reporting Month/Year', and 'Status', all set to 'AI'. There are 'Search' and 'Reset' buttons. Below the form is a table of active pool transfer requests. The first row in the table has a status of 'Pending Ginnie Mae approval'.

Request Number	Selling Issuer Number	Selling Issuer Name	Buying Issuer Number	Buying Issuer Name	Transfer Type	Init Date	Effective Reporting Date	Status	Approval Letter	Assignment Agreement	Cancel
1433	1433	SERIES A MORTGAGE BANK	104	STANDARD MORTGAGE CORPORATION	Standard-Partial	11/29/2013	01/01/2014	Pending Ginnie Mae approval	View	View	Cancel



Pool Transfer System

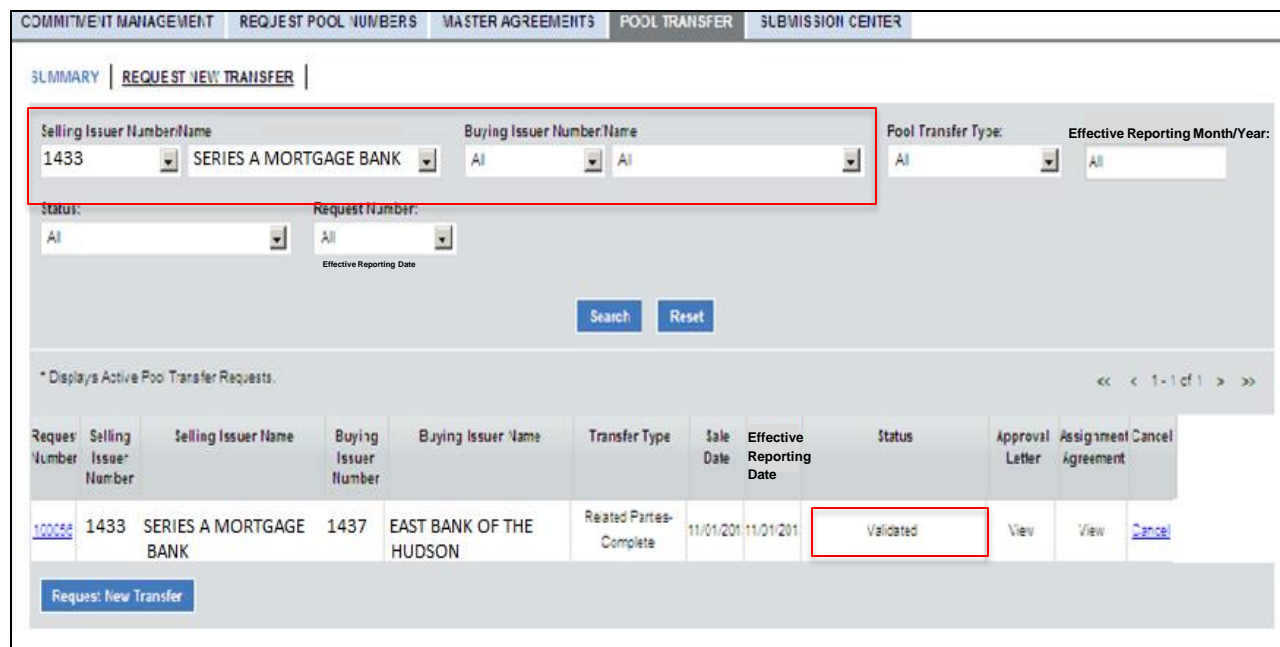
SUMMARY SCREEN – SEARCH CRITERIA/MONITORING

Pool Transfer Request – Status

The Pool Transfer System (PTS) **Summary Screen** provides the tools required to monitor the status of a pending request.

Both Selling and Buying Issuer:

- Select the Issuer Number from the drop down menu.
- If the Selling and Buying Issuer support multiple Issuer Numbers, they may select **All** to display each Issuer.
- Click **Search** to proceed.



COMMITMENT MANAGEMENT | REQUEST POOL NUMBERS | MASTER AGREEMENTS | POOL TRANSFER | SUBMISSION CENTER

SUMMARY | REQUEST NEW TRANSFER

Selling Issuer Number/Name: 1433 SERIES A MORTGAGE BANK
 Buying Issuer Number/Name: All All
 Pool Transfer Type: All
 Effective Reporting Month/Year: All

Status: All
 Request Number: All
 Effective Reporting Date

Search Reset

* Displays Active Pool Transfer Requests. << < 1-1 of 1 > >>

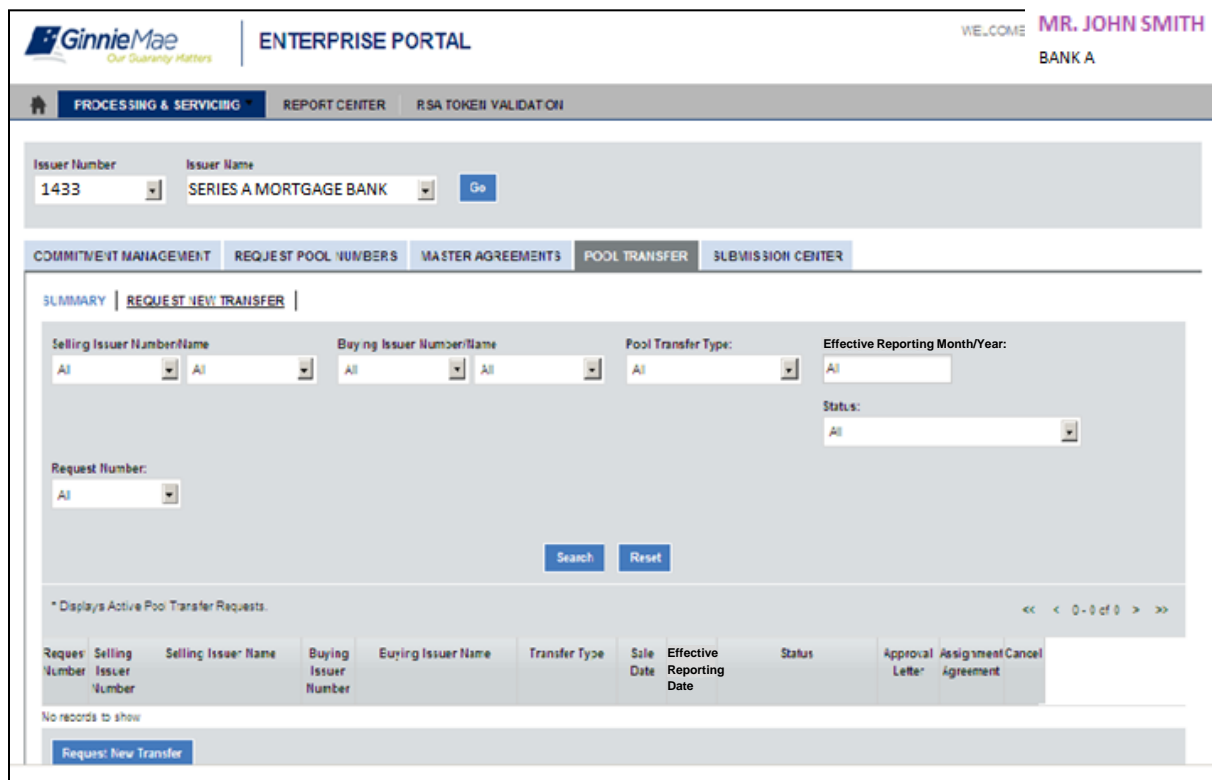
Request Number	Selling Issuer Number	Selling Issuer Name	Buying Issuer Number	Buying Issuer Name	Transfer Type	Sale Date	Effective Reporting Date	Status	Approval Letter	Assignment Agreement	Cancel
1433	1433	SERIES A MORTGAGE BANK	1437	EAST BANK OF THE HUDSON	Related Parties-Complete	11/01/2011	11/01/2011	Validated	View	View	Cancel

Request: New Transfer

PTS Summary Screen

The PTS **Summary Screen** displays the following for all Pool Transfer requests:

- Request Number
- Selling Issuer Number
- Selling Issuer Name
- Buying Issuer Number
- Buying Issuer Name
- Transfer Type
- Sale Date
- Effective Reporting Date
- Status
- Approved Letter
- Assignment Agreement



WELCOME **MR. JOHN SMITH**
BANK A

PROCESSING & SERVICING REPORT CENTER RSA TOKEN VALIDATION

Issuer Number: 1433 Issuer Name: SERIES A MORTGAGE BANK Go

COMMITMENT MANAGEMENT REQUEST POOL NUMBERS MASTER AGREEMENTS **POOL TRANSFER** SUBMISSION CENTER

SUMMARY | REQUEST NEW TRANSFER

Selling Issuer Number/Name: All Buying Issuer Number/Name: All Pool Transfer Type: All Effective Reporting Month/Year: All
 Status: All
 Request Number: All

Search Reset

* Displays Active Pool Transfer Requests. << < 0 - 0 of 0 >>

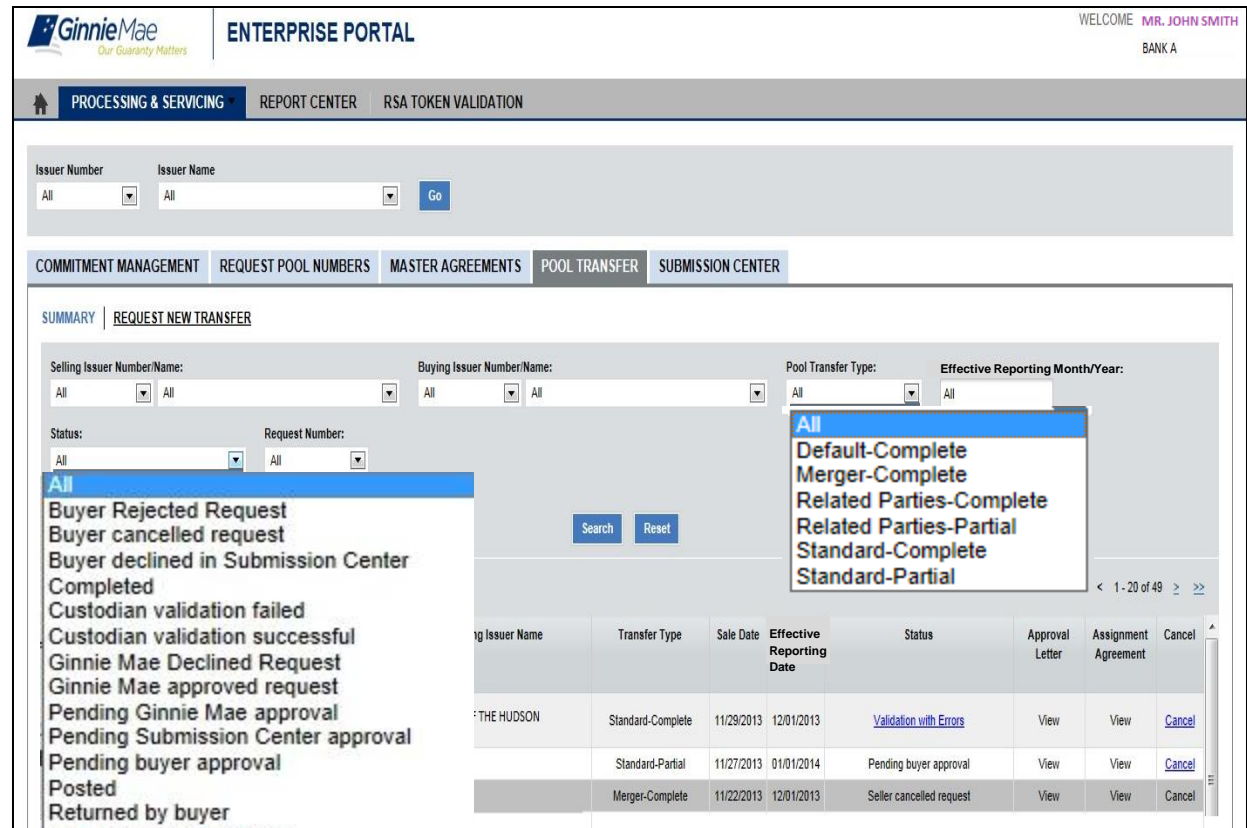
Request Number	Selling Issuer Number	Selling Issuer Name	Buying Issuer Number	Buying Issuer Name	Transfer Type	Sale Date	Effective Reporting Date	Status	Approval Letter	Assignment Agreement	Cancel
No records to show											

Request: New Transfer

PTS Summary Screen

The search criteria may be refined using the drop down selections for the following fields:

- Selling Issuer Number/Name
- Buying Issuer Number/Name
- Pool Transfer Type
- Effective Reporting Month/Year
- Status
- Request Number



ENTERPRISE PORTAL WELCOME **MR. JOHN SMITH**
BANK A

PROCESSING & SERVICING REPORT CENTER RSA TOKEN VALIDATION

Issuer Number: All Issuer Name: All **Go**

COMMITMENT MANAGEMENT REQUEST POOL NUMBERS MASTER AGREEMENTS **POOL TRANSFER** SUBMISSION CENTER

SUMMARY | REQUEST NEW TRANSFER

Selling Issuer Number/Name: All Buying Issuer Number/Name: All Pool Transfer Type: All Effective Reporting Month/Year: All

Status: All Request Number: All

All
Buyer Rejected Request
Buyer cancelled request
Buyer declined in Submission Center
Completed
Custodian validation failed
Custodian validation successful
Ginnie Mae Declined Request
Ginnie Mae approved request
Pending Ginnie Mae approval
Pending Submission Center approval
Pending buyer approval
Posted
Returned by buyer
Seller cancelled request
Seller removed from Submission Center
Seller submitted to Submission Center
Transfer initiated
Validated
Validating
Validating custodians
Validation with Errors

All
Default-Complete
Merger-Complete
Related Parties-Complete
Related Parties-Partial
Standard-Complete
Standard-Partial

1 - 20 of 49 >>

Selling Issuer Name	Transfer Type	Sale Date	Effective Reporting Date	Status	Approval Letter	Assignment Agreement	Cancel
THE HUDSON	Standard-Complete	11/29/2013	12/01/2013	Validation with Errors	View	View	Cancel
	Standard-Partial	11/27/2013	01/01/2014	Pending buyer approval	View	View	Cancel
	Merger-Complete	11/22/2013	12/01/2013	Seller cancelled request	View	View	Cancel



Pool Transfer System

REPORTS

Reports

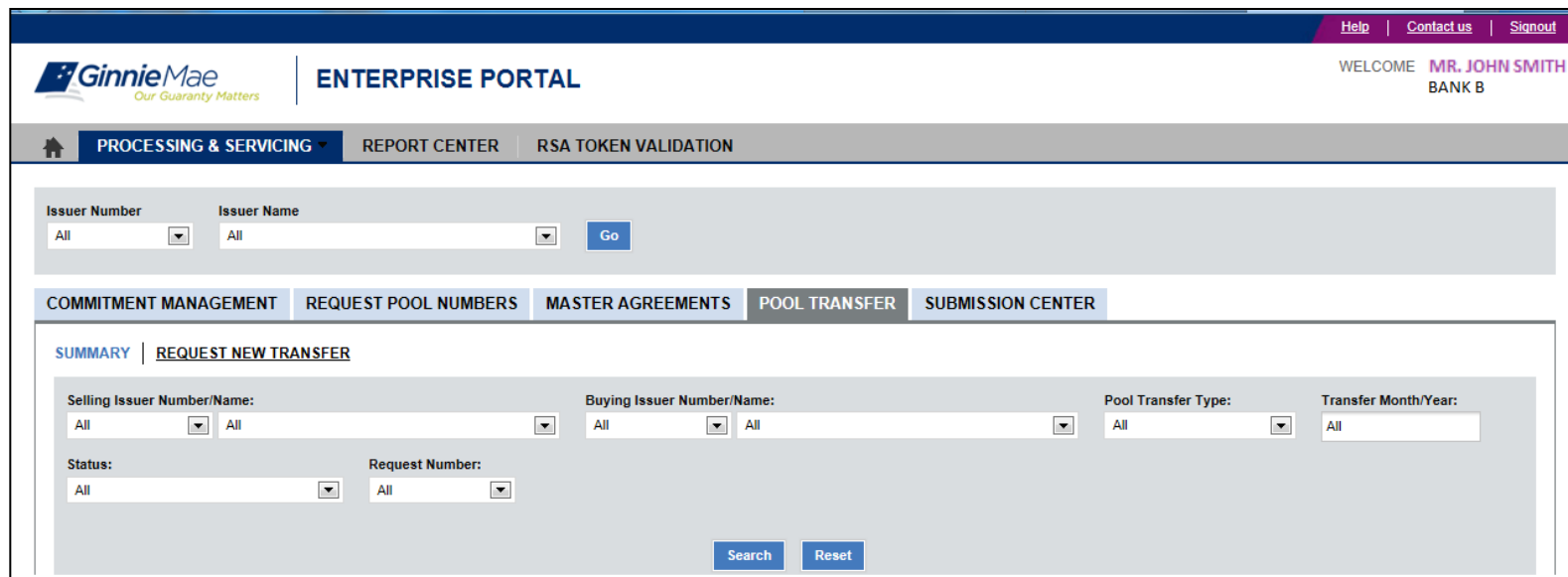
The Pool Transfer reports are available through the Report Center. The Issuer may filter, sort, and export the reports into Microsoft Excel or CSV, XMS or PDF, as applicable.

The report options are as follows:

- Pool Transfer Exceptions
- Pool Transfer Transaction Status

Reports Center

To access the Report Center, click the tab located on the PTS **Summary Screen**.

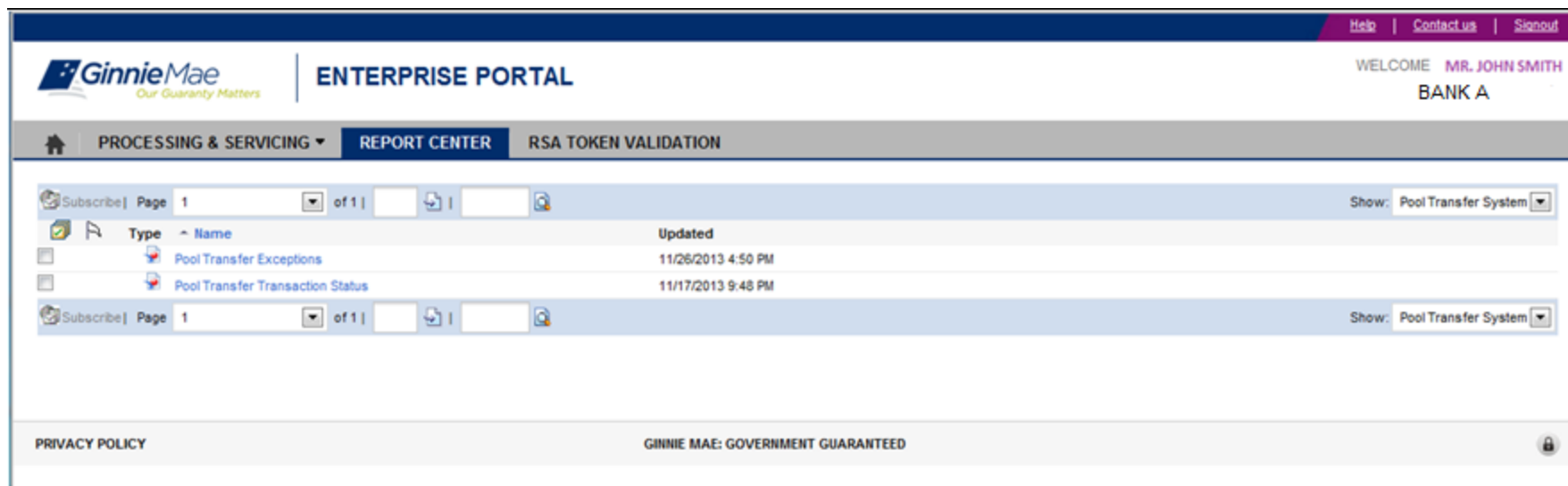


The screenshot shows the GinnieMae Enterprise Portal interface. At the top, there is a navigation bar with the GinnieMae logo and the text "ENTERPRISE PORTAL". On the right side of the navigation bar, there are links for "Help", "Contact us", and "Signout", and a welcome message for "MR. JOHN SMITH BANK B". Below the navigation bar, there is a main menu with tabs for "PROCESSING & SERVICING", "REPORT CENTER", and "RSA TOKEN VALIDATION". The "REPORT CENTER" tab is selected. Below the main menu, there is a search area with "Issuer Number" and "Issuer Name" dropdown menus, both set to "All", and a "Go" button. Below the search area, there is a secondary menu with tabs for "COMMITMENT MANAGEMENT", "REQUEST POOL NUMBERS", "MASTER AGREEMENTS", "POOL TRANSFER", and "SUBMISSION CENTER". The "REQUEST POOL NUMBERS" tab is selected. Below the secondary menu, there is a "SUMMARY" section with a sub-tab for "REQUEST NEW TRANSFER". This section contains several search filters: "Selling Issuer Number/Name" and "Buying Issuer Number/Name" (both set to "All"), "Pool Transfer Type" (set to "All"), "Transfer Month/Year" (set to "All"), "Status" (set to "All"), and "Request Number" (set to "All"). There are "Search" and "Reset" buttons at the bottom of the search area.

Report Center: Catalog of Reports

A catalog of available reports will be displayed.

Click on the Report description link to select a report and launch the report query.



The screenshot shows the GinnieMae Enterprise Portal interface. At the top right, there are links for 'Help', 'Contact Us', and 'Signout'. Below this, the user is logged in as 'MR. JOHN SMITH' at 'BANK A'. The main navigation bar includes 'PROCESSING & SERVICING', 'REPORT CENTER' (which is highlighted), and 'RSA TOKEN VALIDATION'. The 'REPORT CENTER' section displays a table of reports with columns for 'Type', 'Name', and 'Updated'. Two reports are listed: 'Pool Transfer Exceptions' (updated 11/26/2013 4:50 PM) and 'Pool Transfer Transaction Status' (updated 11/17/2013 9:48 PM). Each report row has a 'Show:' dropdown menu set to 'Pool Transfer System'. The footer contains a 'PRIVACY POLICY' link and the text 'GINNIE MAE: GOVERNMENT GUARANTEED'.

NOTE: The Issuer will only have access to the reports relevant to their role and organization.

Report Center: Defining Search Criteria: Pool Transfer Exceptions

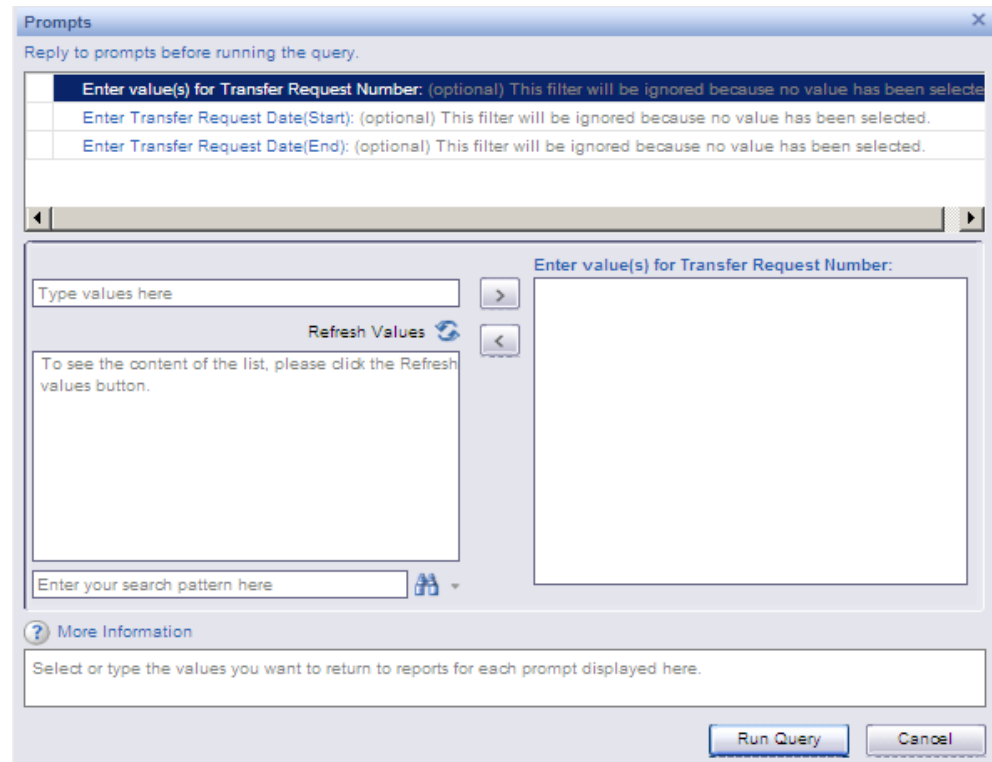
The Issuer is prompted to define report parameters.

A prompt screen will appear; the top portion of the screen lists the data points which may be queried

- Transaction Request Number (optional)
- Start and/or End Dates(optional)

- 1) Click the Prompt field located at the top of the screen.
- 2) Depending on the search criteria enter the request number or start and/or end dates in the field provided.
- 3) Utilize the Refresh Values icon to display the available options.

Use the > button to add the selection to the box on the right or < to remove a selection. Mandatory start and end dates which are selected by clicking the Start/End Prompt fields and using the calendar to select the date.




Click **Run Query** to generate the report.

Sample Report: Pool Transfer Exceptions Summary Report

 Pool Transfer Exceptions Summary												Refresh Date : 11/26/2013 Refresh Time : 2:16:24 PM Refresh By : JOHN SMITH		
Transfer Request Number	Selling Issuer Number	Buying Issuer Number	Transfer Request Type	Transfer Request Partial / Complete Indicator	Transfer Request Date	Effective Reporting Date	Transfer Request Status	Transfer Request Status Date	Action By	Transfer Request Rejection Reason	Total Remaining Principal Balance at Request Submission	Transaction Level Exceptions	Total Number of Pools	Number of Pools with Exceptions
100007	1438	1457	Standard Transfer	Complete	09/18/2013	09/01/2013	Seller cancelled request	09/18/2013	Scott Sturgeon	Delete requestid	Not Available	3	0	0
100018	2003	1438	Merger	Complete	09/19/2013	10/01/2013	Seller cancelled request	09/19/2013	Ramesh Cherkun	Delete requestid	Not Available	4	0	0
100021	1481	1438	Merger	Complete	09/19/2013	04/01/2014	Seller cancelled request	10/01/2013	Nur Islam	fa	Not Available	6	0	0
100023	1457	1433	Merger	Complete	09/19/2013	11/01/2013	Seller cancelled request	09/19/2013	Ramesh Cherkun	Delete requestid	Not Available	5	0	0
Total:														

Sample Report: Pool Transfer Exceptions Detail Report

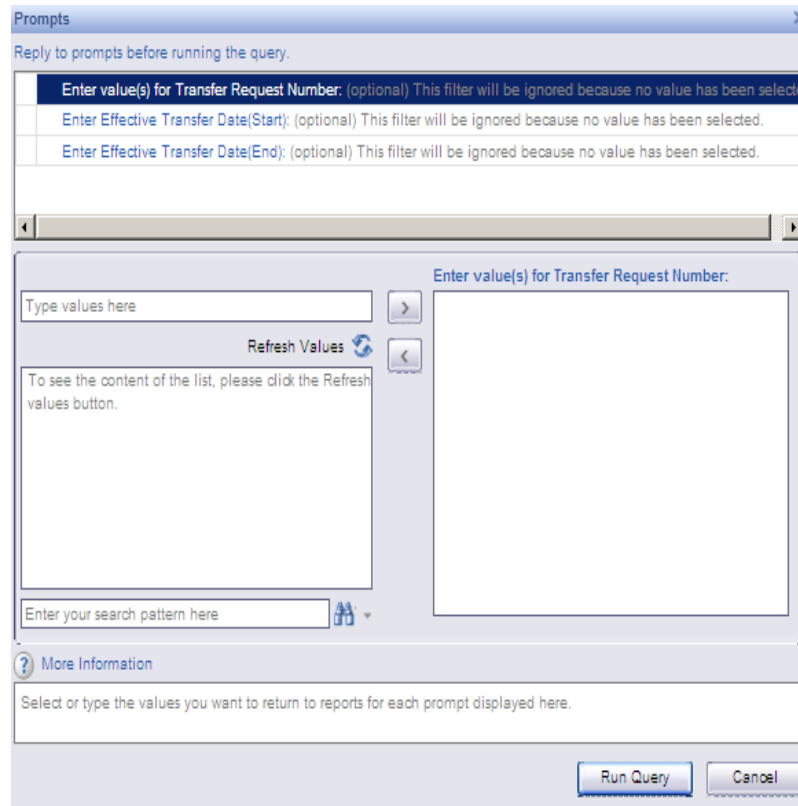
		Pool Transfer Exceptions Detail		Refresh Date : 11/26/2013 Refresh Time : 2:16:24 PM Refresh By : JOHN SMITH	
Transfer Request Number: 100007					
Transfer Request Information			Transaction Statistics		
Transfer Request Type	Standard Transfer		Number of Transaction Exceptions	3	
Transfer Request Partial / Complete Indicator	Complete				
Transfer Request Date	09/18/2013		Pool Statistics		
Transfer Request Status	Seller cancelled request		Total Number of Pools	0	
Transfer Request Status Date	09/18/2013		Total Remaining Principal Balance at Request Submission	Not Available	
Effective Reporting Date	09/01/2013		Number of Pools with Exceptions	0	
Issuer Information			Number of Pools Accepted without Exceptions	0	
Selling Issuer Number	1433		Number of Pools Accepted with Exceptions	0	
Selling Issuer Name	Serie A Mortgage Bank		Number of Pools Rejected	0	
Buying Issuer Number	2003				
Buying Issuer Name	G Bank		Transaction Exceptions		
Exception Type		Exception Description			
Error	From Issuer has a MERGER REQUEST pending - transaction				
Error	From Issuer has an ALL POOL transfer pending - transaction				
Warning	Transfer date is within two months of today's date				
Transaction Level Exceptions Count:		3			

Report Center: Defining Search Criteria- Pool Transfer Transaction Report

When the Issuer selects the Pool Transfer Transaction Report, the Issuer is prompted to define the following criteria:

- Transaction Request Number (optional)
- Start and/or End Dates(optional)
 - 1) Click the Prompt field located at the top of the screen.
 - 2) Depending on the search criteria enter the request number or start and/or end dates in the field provided.
 - 3) Utilize the Refresh Values icon to display the available options.

Use the > button to add the selection to the box on the right or < to remove a selection. Mandatory start and end dates which are selected by clicking the Start/End Prompt fields and using the calendar to select the date.




The Run Query button will activate, click to generate the report.

Sample Report: Pool Transfer Transaction Status Summary Report

PROCESSING & SERVICING ▾ **REPORT CENTER** RSA TOKEN VALIDATION


Document ▾ View ▾ 100% 1 / 1 Refresh All

 **Pool Transfer Transaction Status Summary** Refresh Date: 12/10/2013
Refresh Time: 10:44:26 AM
Refresh By: John Smith

Transfer Request Number	Selling Issuer Number	Buying Issuer Number	Transfer Request Type	Transfer Request Partial / Complete Indicator	Transfer Request Date	Effective Reporting Date	Sale Date	Transfer Request Status	Pool Transfer Status Reason	Transfer Request Status Date	Total Number of Pools	Total Remaining Principal Balance		
												As of Request Submission Date	As of Approval Date	
100024	1438	1457	Merger	Complete	09/19/2013	11/01/2013	09/20/2013	Seller cancelled request	dfasdfsdf	10/20/2013	548	\$1,099,019,907.86	\$1,099,019,907.86	
Total:												548	\$1,099,019,907.86	\$1,099,019,907.86

Summary Detail Info Tab

Sample Report: Pool Transfer Transaction Status Detail Report

 Pool Transfer Transaction Status Detail		Refresh Date : 12/6/2013 Refresh Time : 4:22:09 PM Refresh By : JOHN SMITH		
Transfer Request Number : 100024				
Transfer Request Information		Pool Statistics		
Transfer Request Type	Merger	Total Number of Pools	548	
Transfer Request Partial / Complete Indicator	Complete	Number of Low Balance Pools	91	
Transfer Request Date	09/19/2013	Number of Regular Balance Pools	457	
Transfer Request Status	Seller cancelled request	Number of Pools with Errors	0	
Transfer Request Status Date	10/20/2013	Total Remaining Principal Balance as of Request Submission Date	\$1,099,019,907.86	
Effective Reporting Date	11/01/2013	Total Remaining Principal Balance as of Approval Date	\$1,099,019,907.86	
Sale Date	09/20/2013	Fee Statistics		
Issuer Information		Fee Amount Calculated	\$0.00	
Selling Issuer Number	1433	Fee Amount Received	\$0.00	
Selling Issuer Name	SERIES A MORTGAGE BANK			
Buying Issuer Number	1437			
Buying Issuer Name	EAST BANK OF THE HUDSON			
Buying Issuer Eligibility Amount at Request Time	Not Available			
Buying Issuer Eligibility Amount at Approval Time	Not Available			
Transfer Request Status History				
Transfer Request Number	Status	Date	Status Reason	Action By
100024	Seller cancelled request	10/20/2013 09:13:50 AM	dfasdfdsf	Nur Islam
	Ginnie Mae approved request	10/17/2013 00:00:00 AM	Approve PTS	Sudhakar Balu
	Pending Ginnie Mae approval	10/10/2013 00:00:00 AM		Anita Champ
	Pending buyer approval	10/07/2013 09:40:35 AM		ramesh cherukuri
	Pending buyer approval	10/07/2013 09:40:35 AM		ramesh cherukuri
	Pending buyer approval	10/01/2013 15:21:23 PM		ramesh cherukuri
	Seller submitted to Submission Center	09/20/2013 04:00:40 AM		Sudhakar Balu

Report Center: Menu Bar – View

The PTS Report Center Menu Bar provides options to view, save or download reports:

View - provides a menu of options including:

- Quick Display Mode
- Page Mode
- Draft Mode
- PDF Mode




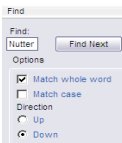
From the View drop-down menu, the Issuer may:

- Click Left Panel menu option to display or hide the report panel.
- Click Status Bar menu option to display or hide the report creation date on the bottom right.
- Click Fold/Unfold menu option to collapse or expand the Issuer numbers displayed for particular years and months. The Fold/Unfold option can also be accessed by clicking the icon on the right.


Report Center: Menu Bar – Print & Navigate

To print a report, click the  Printer icon.

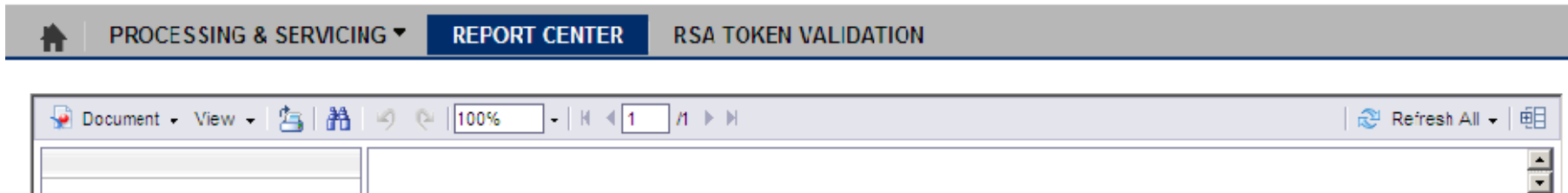
To search, click the  binoculars icon. A Find screen displays; enter search query and select the applicable options. Click Find Next.



To navigate:

- The left panel will display options to search for a particular string with matching criteria and search direction.
- To undo or redo previous report actions, click the .
- Select the magnification option to zoom in or out of the report.
- For multi-page reports, click the arrows to navigate to the previous, next, or last page.

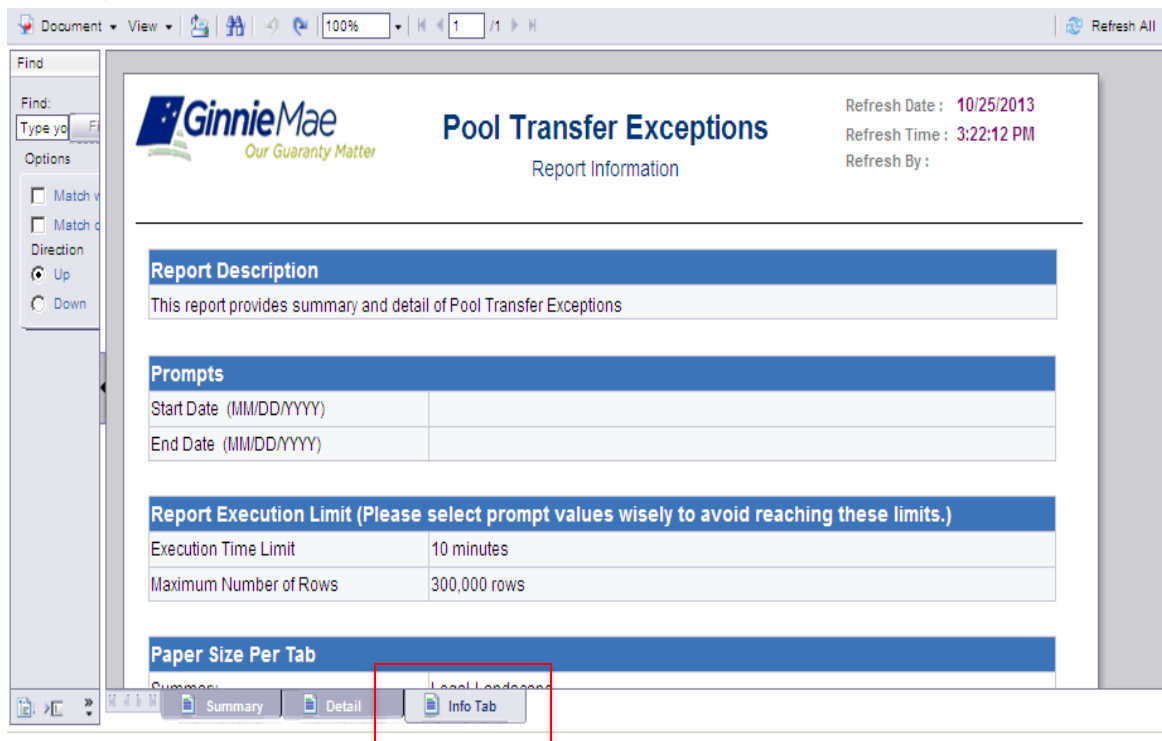
Click the **Refresh All** button on the right and select **Single** or **All Report** parameters to refresh report data.



Reports: Information Tab

Each report has an information tab which provides a brief description of the report, the report execution limits (if applicable) and a definition of the column headings and the search criteria features that are available.

To access the **Info Tab**, scroll to the bottom of the screen.



Document View 100% 1 / 1 Refresh All

Find

Find: Type your search criteria here

Options

Match v

Match d

Direction

Up

Down

GinnieMae
Our Guaranty Matters

Pool Transfer Exceptions
Report Information

Refresh Date: 10/25/2013
Refresh Time: 3:22:12 PM
Refresh By:

Report Description
This report provides summary and detail of Pool Transfer Exceptions

Prompts

Start Date (MM/DD/YYYY)	
End Date (MM/DD/YYYY)	

Report Execution Limit (Please select prompt values wisely to avoid reaching these limits.)

Execution Time Limit	10 minutes
Maximum Number of Rows	300,000 rows

Paper Size Per Tab

Summary Detail **Info Tab**

Appendix: Status Definitions

Status	Definition
All	All statuses
Buyer Rejected Request	Buyer rejected request
Buyer Cancelled Request	Buyer cancelled request
Buyer Declined in Submission Center	Buyer declined the pool transfer in Submission Center
Completed	Transfer is signed off by Seller, Buyer, and Ginnie Mae
Custodian Validation Failed	Error discovered during Custodian validation process
Custodian Validation Successful	Pass in custodian validation process
Ginnie Mae Declined Request	Ginnie Mae declined the request during their approval process
Ginnie Mae Approved Request	Ginnie Mae approved the request during their approval process.
Pending Ginnie Mae Approval	Status after the Buyer approves in Submission Center
Pending Submission Center Approval	Pending Buyer approval of the transfer request from the Submission Center
Pending Buyer Approval	Seller approved in Submission Center
Posted	After the Seller submits for approval, the transfer status is “posted” pending the buyer’s approval.

Appendix: Status Definitions (continued)

Status	Definition
Returned by Buyer	The Buying Issuer returns the transfer to the Selling Issuer .
Seller Cancelled Request	Seller cancelled request from the Summary Screen .
Seller Removed from Submission Center	Seller deletes the pool transfer from Submission Center .
Seller Submitted to Submission Center	Seller completes ACH information for the pool transfer and clicks on “Add to Submission Center” from Pool Transfer Detail screen.
Transfer Initiated	When the Selling Issuer requests a new transfer from the Summary screen
Validated	Pool validation passed
Validating	Pool validation in progress
Validating Custodians	System is validating the custodians assigned to the pool are valid.
Validation with Errors	Result of Pool validation with warning or failed errors

Help

The PTS User Guide is located in the Help Section of GMEP.

The *Contact Us* link on the upper right of the GMEP Portal provides a list of relevant Ginnie Mae contacts, including program hotlines and technical assistance.

This presentation has been recorded and is available on the Modernization Resource Page and Ginnie Mae University which are both available at www.ginniemae.gov.