REMIC Prepayment Penalty File Layout

Version 1.0

Ginnie Mae REMIC Prepayment Penalty File

Document Version	History
Version 1.0	3/1/2019 Initial Version

Purpose:

This file layout is for Ginnie Mae Real Estate Mortgage Investment Conduit (REMIC) Trustees to provide Prepayment Penalty data to Ginnie Mae on REMIC Tranche CUSIPs. Only tranche CUSIPs with Prepayment Penalties in a given month should be included in this file.

File Frequency:

This file is submitted monthly and due by the second Business Day prior to the 16th Calendar Day.

Records in the File:

Record Description	Occurs in the File
Header Record	1 Record per File
Data Record	One Record per REMIC having a Prepayment Penalty; Sorted by CUSIP Number
Trailer Record	1 Record per File

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Header Record

Field #	Field Name	Start	End	Type	Length	Remarks
1	Record Type H = File Header	1	1	Character	1	X
2	Trustee Name	2	16	Character	15	X(15)
3	As-Of Date (YYYYMM)	17	22	Numeric	6	9(6)
	Length of Record		**22**			

1. Record Type: Always "H" for Header.
2. Trustee Name: The name of the trustee submitting the file.
3. As-Of Date: The report period for the data in the file.

Data Record

Field #	Field Name	Start	End	Type	Length	Remarks
1	Record Type P = Prepayment Penalty Record	1	1	Character	1	X
2	CUSIP Number	2	10	Character	9	X(9)
3	REMIC Series Number	11	24	Character	14	X(14)
4	Tranche Name	25	33	Character	9	X(9)
5	Prepayment Penalty Amount	34	47	Numeric	14	9(11)v9(2)
6	As-Of Date (YYYYMM)	48	53	Numeric	6	9(6)
	Length of Record		**53**			

1. Record Type: Always "P" for Prepayment Penalty Record.
2. CUSIP Number: The CUSIP of the REMIC tranche associated with the Prepayment Penalty.
3. REMIC Series Number: The series identifier associated with the Prepayment Penalty. Format is "GNMA-YYYY-nnn", where "GNMA" is constant, "YYYY" is the REMIC year of Issuance, and "nnn" or "nnnO" is the series deal number ("nnn" is for MBS series; "nnnO" is for HMBS series).
4. Tranche Name: The Alphanumeric identifier assigned to the tranche underlying the Series.
5. Prepayment Penalty Amount: The dollar amount of the total prepayment penalty for the CUSIP for the report period.
6. As-Of Date: The report period for the data in the file.

Trailer Record

Field #	Field Name	Start	End	Type	Length	Remarks
1	Record Type T = File Trailer	1	1	Character	1	X
2	Trustee Name	2	16	Character	15	X(15)
3	Total Count of Data Records	17	22	Numeric	6	9(6)
4	As-Of Date (YYYYMM)	23	28	Numeric	6	9(6)
	Length of Record		**28**			

1. Record Type: Always "T" for Trailer.
2. Trustee Name: The name of the trustee submitting the file.
3. Total Count of Data Records: The control count of the number of Data Records in the file.
4. As-Of Date: The report period for the data in the file.

File Naming:

"REMIC_Prepay_Trustee_YYYYMM.txt", where "REMIC_Prepay" is a constant, "Trustee" is the trustee name, "YYYY" is the year for the data, and "MM" is the month for the data. Eg. REMIC_Prepay_company_201811.txt.